

E2341: DNA molecule

Submitted by: Eyal Gavish

The problem:

The DNA molecule forms a double stranded helix with hydrogen bonds stabilizing the double helix. Under certain conditions the two strands get separated resulting in a sharp "phase transition" (in the thermodynamic limit). We model the described above as a zipper consisting of N parallel links that can be opened from one side of the zipper. If links $1, 2, 3, \dots, p$ are opened, then the energy to open link $p + 1$ is ε and the energy to open link $p + 2$ is infinity. The last link $p = N$ cannot be opened. Each open link has g orientations corresponding to the rotational freedom about the bond. Assume a large number of links N .

- (1) Define $x = ge^{-\varepsilon/T}$ and find the canonical partition function $Z(\beta, x)$.
- (2) Find the average number of open links $\langle p \rangle$ as a function of x .
- (3) Find the linear approximation for $\langle p \rangle$.
- (4) Approximate $\frac{\langle p \rangle}{N}$ for large x .
- (5) Describe the dependence of $\frac{\langle p \rangle}{N}$ on x .
- (6) Find expressions for the entropy $S(x)$ and the heat capacity $C_V(x)$ at $x = 1$.
- (7) What is the order of the phase transition?

The solution:

(1) The partition function of one open link is $e^{-\beta\varepsilon} + e^{-\beta\varepsilon} + \dots + e^{-\beta\varepsilon} = ge^{-\beta\varepsilon} = x$. For p open links we multiply the p partition functions and get $g^p e^{-\beta\varepsilon p} = x^p$. So the partition function of the system would be:

$$Z(\beta, x) = \sum_{p=0}^{N-1} x^p = \frac{x^N - 1}{x - 1}$$

(2) The average number of open links is:

$$\langle p \rangle = \sum_{p=0}^{N-1} Prob(p) \cdot p = \sum_{p=0}^{N-1} \frac{x^p}{Z} \cdot p = \frac{1}{Z} x \frac{\partial}{\partial x} \sum_{p=0}^{N-1} x^p = x \frac{\partial}{\partial x} \ln(Z) = \frac{Nx^N}{x^N - 1} - \frac{x}{x - 1}$$

(3)

$$\langle p \rangle = \frac{Nx^{N+1} - Nx^N - x^{N+1} + x}{x^{N+1} - x^N - x + 1}$$

Now we expand the term for $\langle p \rangle$ to a series at $x = 1$. We expand the numerator and the denominator separately to a third order and then divide them. We start with the numerator:

$$Nx^{N+1} - Nx^N - x^{N+1} + x \approx \frac{1}{2}(x-1)^2[N^2 - N] + \frac{1}{6}(x-1)^3[2N^3 - 3N^2 + N]$$

The series expansion for the denominator:

$$x^{N+1} - x^N - x + 1 \approx \frac{1}{2}(x-1)^2N + \frac{1}{6}(x-1)^3[3N^2 - 3N]$$

So we get:

$$\langle p \rangle \approx \frac{\frac{1}{2}(x-1)^2[N^2 - N] + \frac{1}{6}(x-1)^3[2N^3 - 3N^2 + N]}{\frac{1}{2}(x-1)^2N + \frac{1}{6}(x-1)^3[3N^2 - 3N]} = \frac{\frac{1}{2}(N - N^2) + \frac{1}{6}(x-1)(2N^2 - 3N + 1)}{1 + \frac{1}{6}(x-1)(3N - 3)}$$

Now we expand the term $\frac{1}{1+\frac{1}{6}(x-1)(3N-3)}$ to a series at $x = 1$:

$$\frac{1}{1+\frac{1}{6}(x-1)(3N-3)} \approx 1 - \frac{1}{6}(3N-3)(x-1)$$

So we have:

$$\langle p \rangle \approx \left[\frac{1}{2}(N - N^2) + \frac{1}{6}(x-1)(2N^2 - 3N + 1) \right] \cdot \left[1 - \frac{1}{6}(3N-3)(x-1) \right]$$

And by taking $\langle p \rangle$ to first order and taking N to be large we get:

$$\langle p \rangle \approx \frac{1}{2}N \left[1 + \frac{1}{6}N(x-1) \right]$$

(4)

$$\frac{\langle p \rangle}{N} = \frac{x^N}{x^N - 1} - \frac{1}{N} \cdot \frac{x}{x-1} = \frac{1}{1 - \frac{1}{x^N}} - \frac{1}{N} \cdot \frac{1}{1 - \frac{1}{x}}$$

And for large x and large N we get:

$$\frac{\langle p \rangle}{N} \approx 1$$

(5) We can see that for $x = 0$ we get $\frac{\langle p \rangle}{N} \approx 0$. The linear approximation gives us $\frac{\langle p \rangle}{N} = \frac{1}{2}$ for $x = 1$.

The dependence of $\frac{\langle p \rangle}{N}$ on x converges to a Heaviside step function as N becomes larger, so for $N \rightarrow \infty$ the dependence is:

$$\frac{\langle p \rangle}{N} = \begin{cases} 0 & \text{for } x < 1 \\ \frac{1}{2} & \text{for } x = 1 \\ 1 & \text{for } x > 1 \end{cases} \equiv H(x-1)$$

(6) We first find the entropy:

$$S(x) = -\frac{\partial F(\beta, x)}{\partial T} = -\beta^2 \frac{\partial}{\partial \beta} \left(\frac{1}{\beta} \ln(Z) \right) = \ln(Z) + \frac{1}{\beta} \frac{\partial x}{\partial \beta} \frac{\partial}{\partial x} \ln(Z) = \ln(Z) + \beta \varepsilon x \frac{\partial}{\partial x} \ln(Z) = \ln(Z) + \beta \varepsilon \langle p \rangle$$

By using L'Hopital's rule we calculate the limit of $\ln(Z)$ at $x = 1$ and get $\ln(Z) \approx \ln(N)$. By assuming that $\beta \varepsilon$ is not zero we get $\ln(N) \ll \beta \varepsilon \cdot \langle p \rangle = \beta \varepsilon \frac{N}{2}$. So the entropy at $x = 1$ is:

$$S(x=1) \approx \beta \varepsilon \langle p \rangle$$

And in the neighborhood of the point $x = 1$ and for $N \rightarrow \infty$ we get:

$$\frac{S(x)}{\beta \varepsilon N} \approx \frac{\langle p \rangle}{N} = H(x-1)$$

We Now calculate the heat capacity:

$$C_V(x) = T \frac{\partial S(x)}{\partial T} = \beta \varepsilon x \frac{\partial S(x)}{\partial x} \Rightarrow \frac{C_V(x)}{\beta \varepsilon N} = x \frac{\partial}{\partial x} \left(\frac{S(x)}{N} \right)$$

In the limit $N \rightarrow \infty$ and in the neighborhood of $x = 1$ the entropy is a step function. So the heat capacity in that limit is:

$$\frac{C_V(x)}{\beta \varepsilon N} = \delta(x-1) \Rightarrow \frac{C_V(x=1)}{\beta \varepsilon N} = \infty$$

(7) The entropy which is the first derivation of the Helmholtz free energy is a step function, so the phase transition is of the first order.