

# Semi-linear response of energy absorption

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## Discussions:

Yuval Gefen (Weizmann)

Shmuel Fishman (Technion)

\$ISF, \$GIF, \$DIP, \$BSF

# Diffusion and Energy absorption

Driven chaotic system with Hamiltonian  $\mathcal{H}(X(t))$

$X$  = some control parameter

$\dot{X}$  = rate of the (noisy) driving

$\leadsto$  diffusion in energy space:

$$D = G_{\text{diffusion}} \overline{\dot{X}^2}$$

$\leadsto$  energy absorption:

$$\dot{E} = G_{\text{absorption}} \overline{\dot{X}^2}$$

[Ott, Brown, Grebogi, Wilkinson, Jarzynski, D.C.]

There is a dissipation-diffusion relation.

In the canonical case  $\dot{E} = D/T$ .

Below we use for  $G$  scaled units.

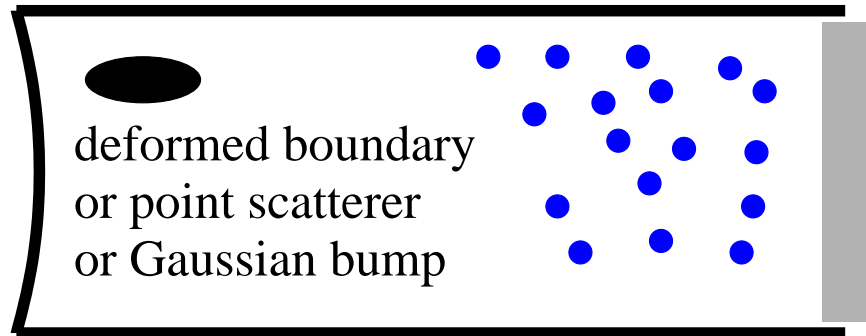
# Models

$$\mathcal{H} = \{E_n\} - X(t)\{V_{nm}\}$$

with:

Stotland

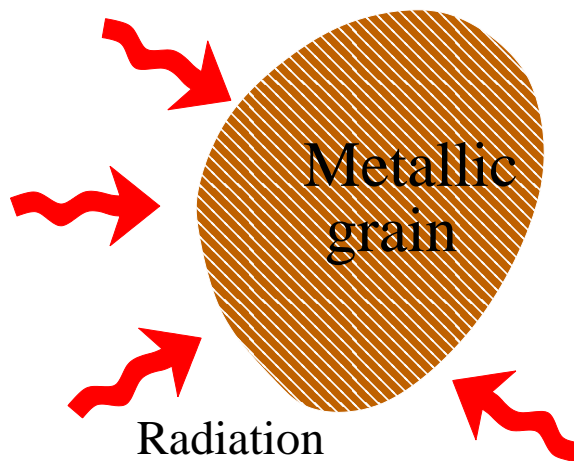
Davidson



with:

Wilkinson

Mehlig



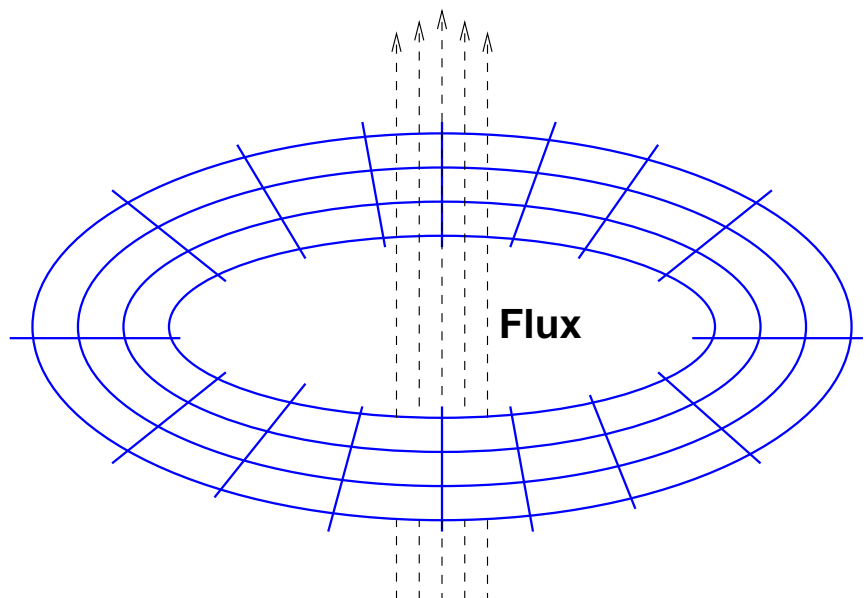
with:

Stotland

Budoyo

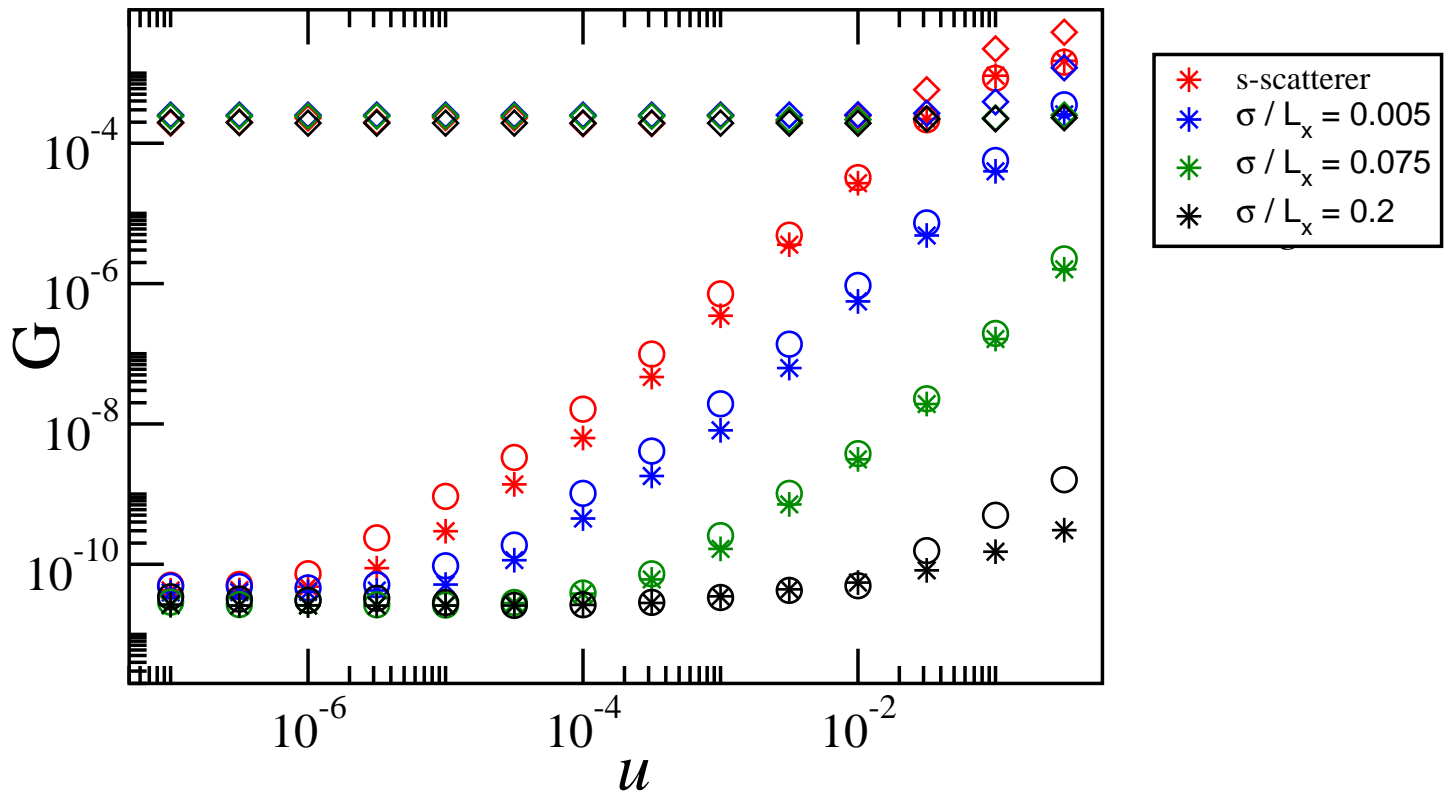
Peer

Kottos

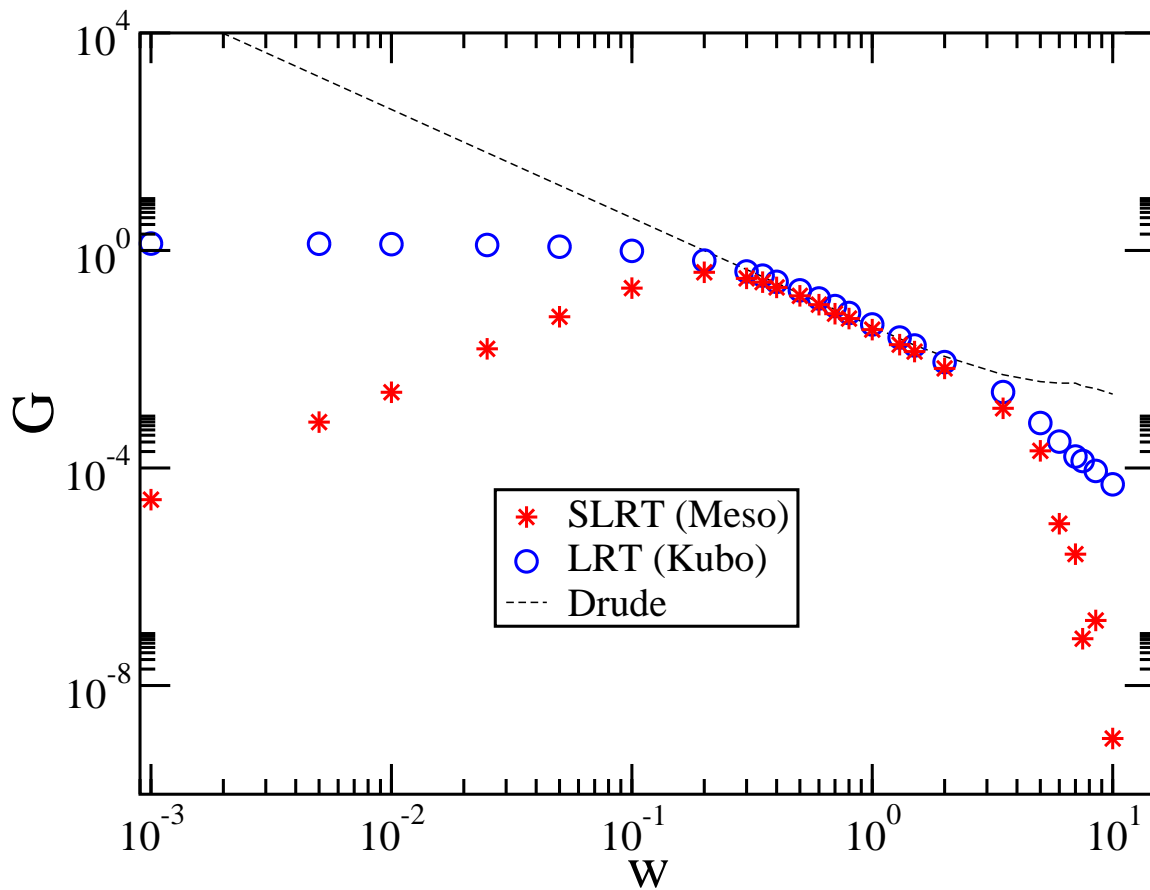


## Some results

Cold atoms in vibrating traps:



Metallic rings driven by EMF:

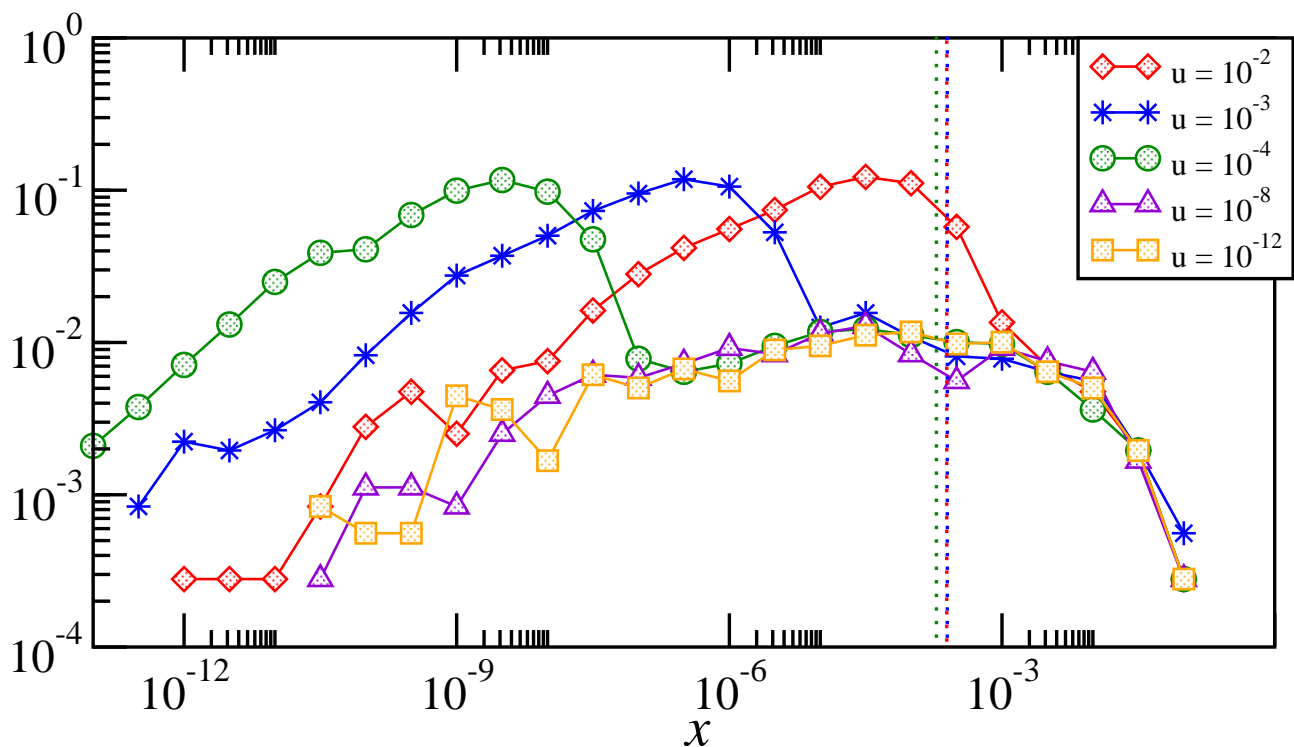


## Digression: size distribution

Given a matrix that looks random  $\{V_{nm}\}$ ,

Consider the *size distribution* of the elements.

Histogram of  $\log(x)$  where  $x = |V_{nm}|^2$



Algebraic average:  $\langle\langle x \rangle\rangle_a = \langle x \rangle$

Harmonic average:  $\langle\langle x \rangle\rangle_h = [\langle 1/x \rangle]^{-1}$

Geometric average:  $\langle\langle x \rangle\rangle_g = \exp[\langle \log x \rangle]$

$$\langle\langle x \rangle\rangle_h \ll \langle\langle x \rangle\rangle_g \ll \langle\langle x \rangle\rangle_a$$

## Digression: random walk

$w_{nm}$  = probability to hop from  $m$  to  $n$  per step.

$$\text{Var}(n) = \sum_n [w_{nm}t] (n - m)^2 \equiv 2Dt$$

For n.n. hopping with rate  $w$  we get  $D = w$ .

The diffusion equation:

$$\frac{\partial p_n}{\partial t} = -\frac{\partial}{\partial n} J_n = D \frac{\partial^2}{\partial n^2} p_n$$

Fick's law:

$$J_n = -D \frac{\partial}{\partial n} p_n$$

If we have a sample of length  $N$  then

$$J = -\frac{D}{N} \times [p_N - p_0]$$

$D/N$  = inverse resistance of the chain

If the  $w$  are not the same:

$$\frac{D}{N} = \left[ \sum_{n=1}^N \frac{1}{w_{n,n-1}} \right]^{-1}$$

Hence

$$D = \langle \langle w \rangle \rangle_h \quad \text{for n.n. hopping}$$

## Digression: Fermi Golden rule

The Hamiltonian in the standard representation:

$$\mathcal{H} = \{E_n\} - \textcolor{red}{X}(t) \{V_{nm}\}$$

The transformed Hamiltonian:

$$\tilde{\mathcal{H}} = \{E_n\} - \textcolor{red}{\dot{X}}(t) \left\{ \frac{iV_{nm}}{E_n - E_m} \right\}$$

The FGR transition rate for  $\omega \sim 0$  driving:

$$w_{nm} = 2\pi \left| \frac{V_{nm}}{E_n - E_m} \right|^2 \overline{|\textcolor{red}{\dot{X}}|^2} \delta_{\textcolor{red}{\Gamma}}(E_n - E_m)$$

Note that the spectral content of the driving is

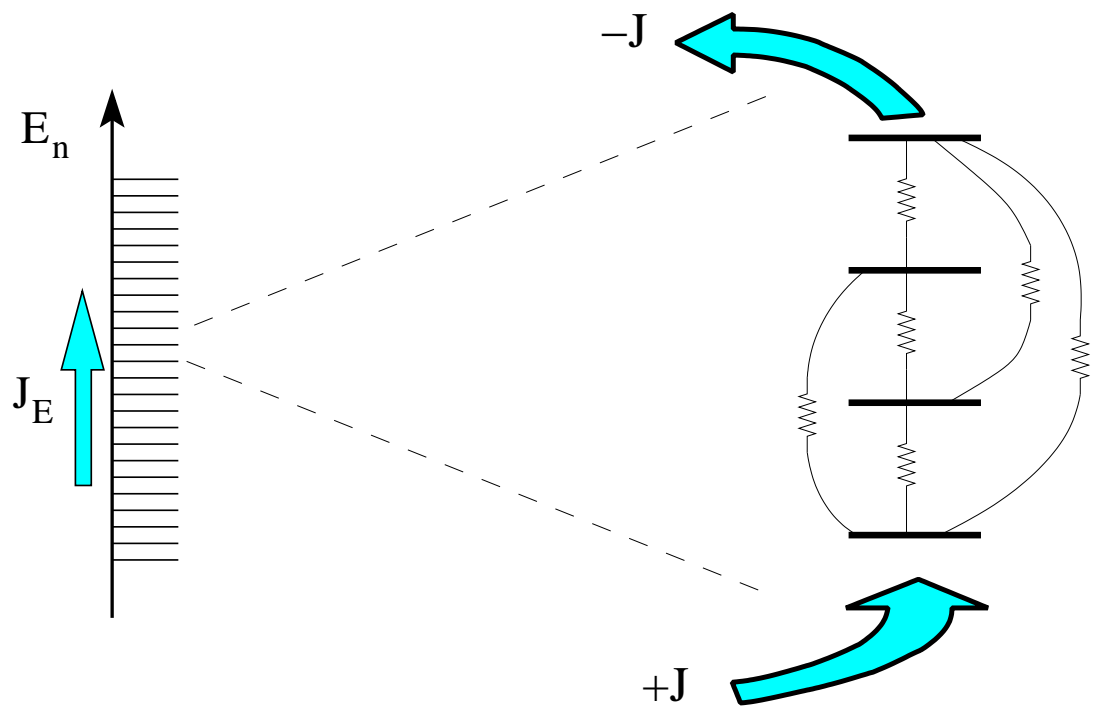
$$\tilde{S}(\omega) = \overline{|\textcolor{red}{\dot{X}}|^2} \delta_{\textcolor{red}{\Gamma}}(\omega - (E_n - E_m))$$

# Semi Linear Response Theory (SLRT)

$$\mathcal{H} = \{E_n\} - X(t)\{V_{nm}\}$$

$$\frac{dp_n}{dt} = - \sum_m w_{nm} (p_n - p_m)$$

$$w_{nm} = \text{const} \times g_{nm} \times \overline{\dot{X}^2}$$



$$g_{nm} = 2\varrho^{-3} \frac{|V_{nm}|^2}{(E_n - E_m)^2} \delta_{\Gamma}(E_n - E_m)$$

$$\langle\langle |V_{mn}|^2 \rangle\rangle \equiv \text{inverse resistivity of the network}$$

$$D = \pi\varrho \langle\langle |V_{mn}|^2 \rangle\rangle \times \overline{\dot{X}^2} \equiv \mathbf{G} \overline{\dot{X}^2}$$



## Example: cold atoms in vibrating trap

The Hamiltonian in the  $\mathbf{n} = (n_x, n_y)$  basis:

$$\mathcal{H} = \text{diag}\{E_{\mathbf{n}}\} + \textcolor{red}{u}\{U_{\mathbf{n}\mathbf{m}}\} + f(t)\{V_{\mathbf{n}\mathbf{m}}\}$$

The matrix elements for the wall displacement:

$$V_{\mathbf{n}\mathbf{m}} = -\delta_{n_y, m_y} \times \frac{\pi^2}{\mathsf{M}L_x^3} n_x m_x$$

The Hamiltonian in the  $E_n$  basis:

$$\mathcal{H} = \text{diag}\{E_n\} + f(t)\{\textcolor{red}{V}_{nm}\}$$

$$\langle\langle |V_{nm}|^2 \rangle\rangle_a \approx \frac{\mathsf{M}v_{\text{E}}^3}{2\pi L_x^2 L_y}$$

$$\langle\langle |V_{nm}|^2 \rangle\rangle_g \approx \frac{4\mathsf{M}^2 v_{\text{E}}^4}{L_x^3 L_y \omega_c^2} \exp\left[-\mathsf{M}^2 v_{\text{E}}^2 (\sigma_x^2 + \sigma_y^2)\right] \times \textcolor{red}{u}^2$$

The SLRT result:

$$G_{\text{SLRT}} = \textcolor{red}{q} \exp\left[2\sqrt{-\ln \textcolor{red}{q}}\right] \times G_{\text{LRT}}$$

## SLRT vs LRT

$X$  = some control parameter

$\dot{X}$  = rate of the (noisy) driving

The definition of the “conductance”:

$$D = G \overline{\dot{X}^2}$$

LRT implies

$$D = \int G(\omega) |\dot{X}_\omega|^2 d\omega = \int G(\omega) \tilde{S}(\omega) d\omega$$

Within the framework of LRT

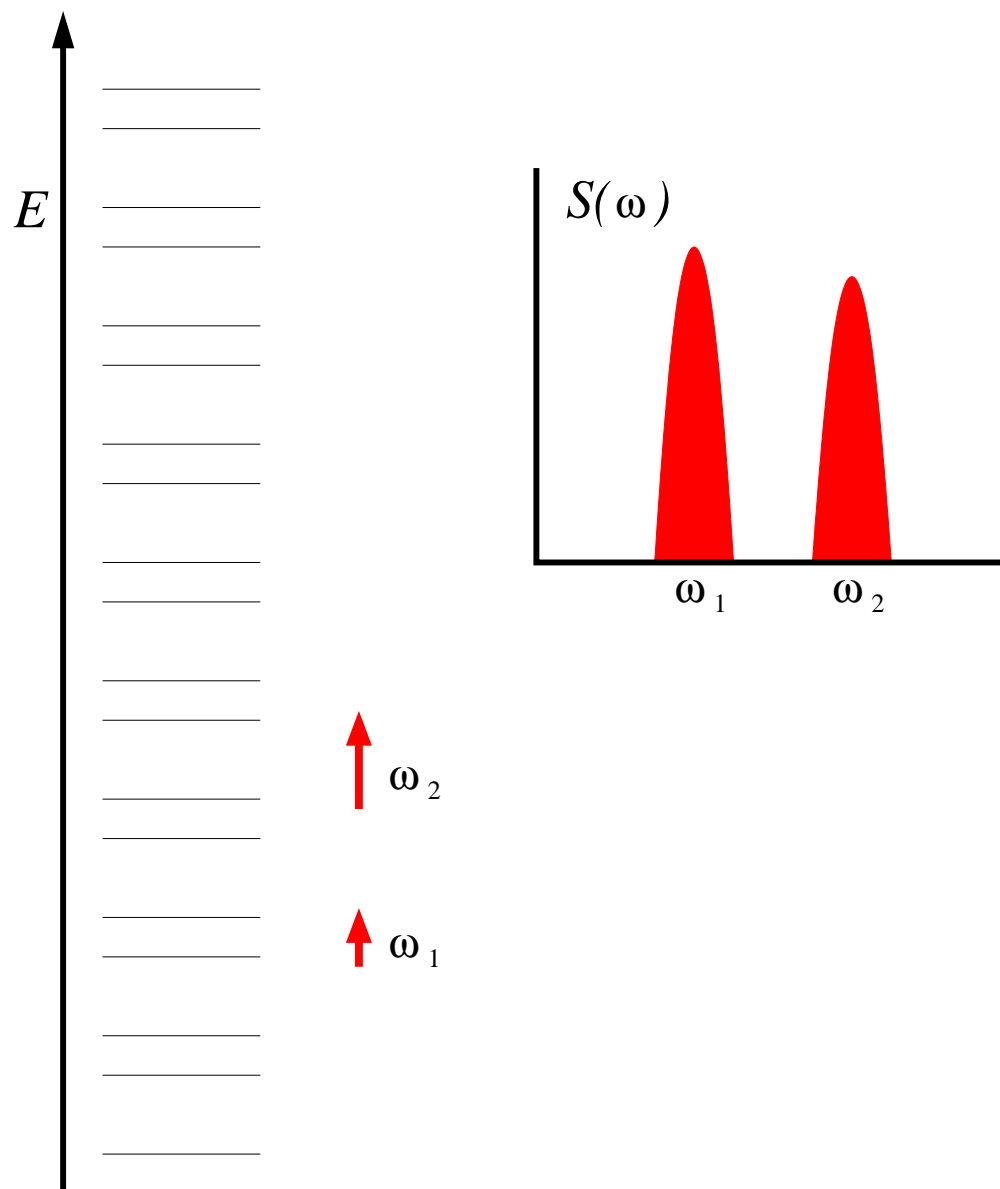
$$\tilde{S}(\omega) \mapsto \lambda \tilde{S}(\omega) \implies D \mapsto \lambda D$$

$$\tilde{S}(\omega) \mapsto \sum_i \tilde{S}_i(\omega) \implies D \mapsto \sum_i D_i$$

But there are circumstance such that e.g.

$$D = \left[ \int R(\omega) [\tilde{S}(\omega)]^{-1} d\omega \right]^{-1}$$

## Simplest illustration



$$D \gg D_1 + D_2$$

## Example: energy absorption by metallic grains

Linear response theory:

$$\mathbf{D} = \sigma^2 \hbar \varrho \int_0^\infty d\omega \omega^2 R_2(\hbar\omega) \tilde{S}(\omega)$$

Semi-linear response theory:

$$\mathbf{D} = \frac{\sigma^2}{(\varrho \hbar)^3} \left[ \int \frac{d\mathbf{x} e^{-\mathbf{x}^2/2}}{(2\pi)^{N/2} \mathbf{x}^2} \right]^{-1} \left[ \int_0^\infty d\omega \frac{P_2(\varrho \hbar \omega)}{\tilde{S}(\omega)} \right]^{-1}$$

Level spacing statistics:

$$P_2(s) \approx a_\beta s^\beta \exp(-c_\beta s^2) \quad \text{with } \beta = 1, 2, 4$$

The LRT result of Gorkov and Eliashberg:

$$\mathbf{G} = C_\beta \sigma^2 (\hbar \varrho)^{\beta+1} T^{\beta+2}$$

Our SLRT result (large  $s$  statistics!):

$$\mathbf{G} = \frac{\sigma^2}{2\hbar \varrho} \frac{1}{(\hbar \varrho \omega_0)^{\beta-1}} \exp \left[ -\frac{1}{\pi (\hbar \varrho T)^2} \right]$$

# The conductance of small mesoscopic disordered rings

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## The model

Non interacting “spinless” electrons in a ring.

$$\mathcal{H}(r, p; \Phi(t))$$

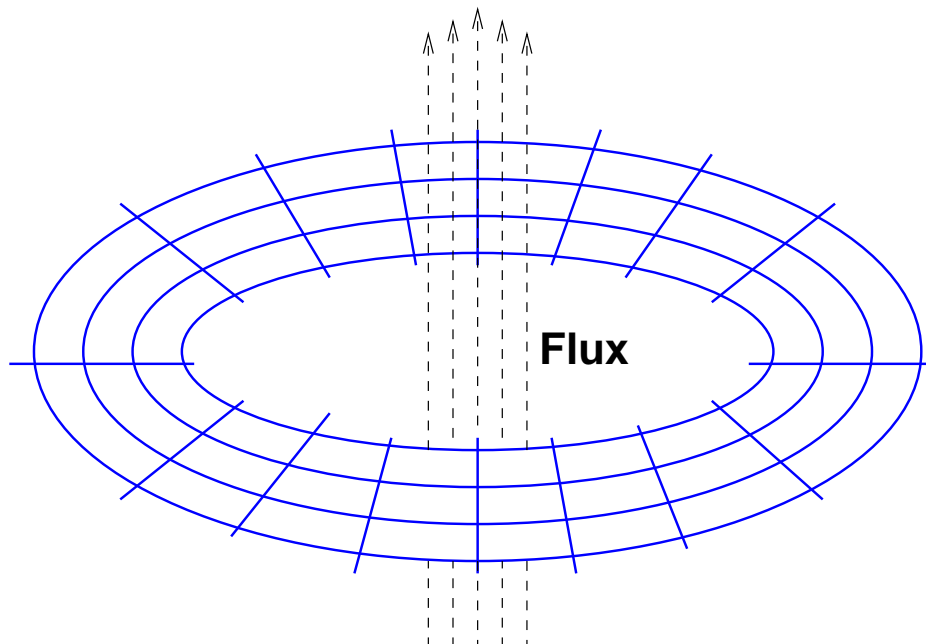
$-\dot{\Phi}$  = electro motive force (RMS)

$\textcolor{red}{G} \dot{\Phi}^2$  = rate of energy absorption

$$\textcolor{red}{G} = \pi \left( \frac{e}{L} \right)^2 \text{DOS}^2 \langle \langle |v_{mn}|^2 \rangle \rangle$$

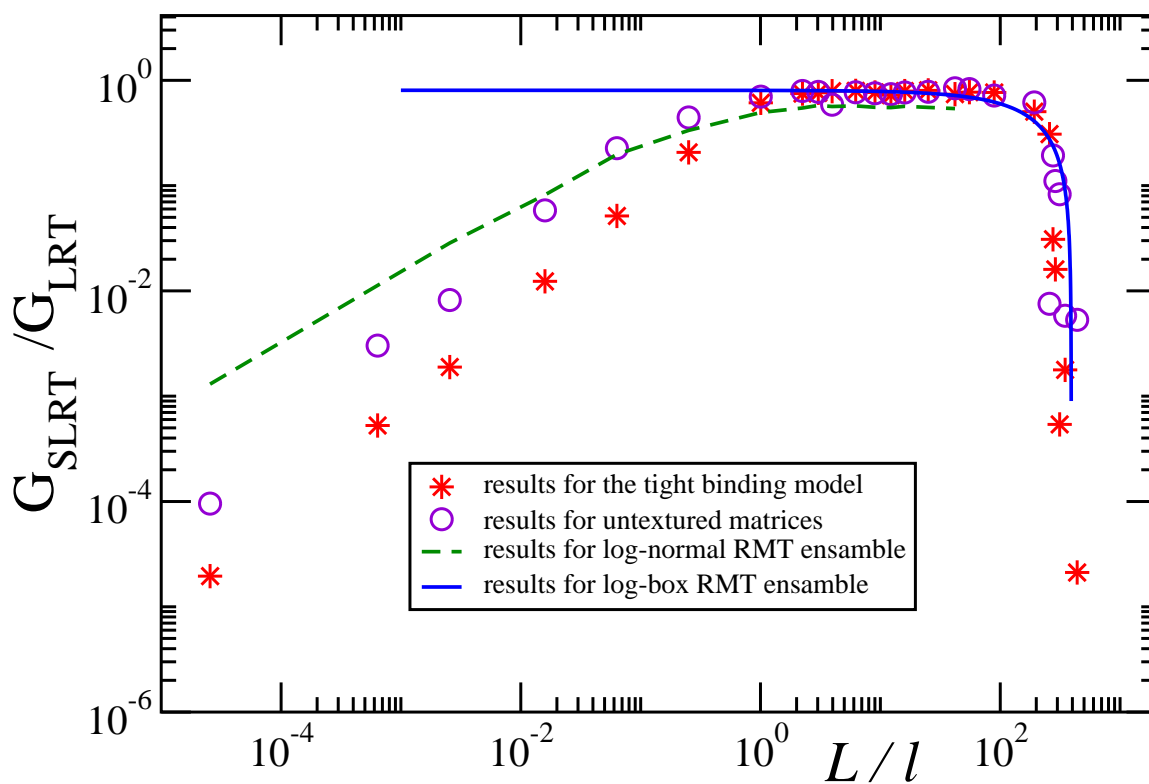
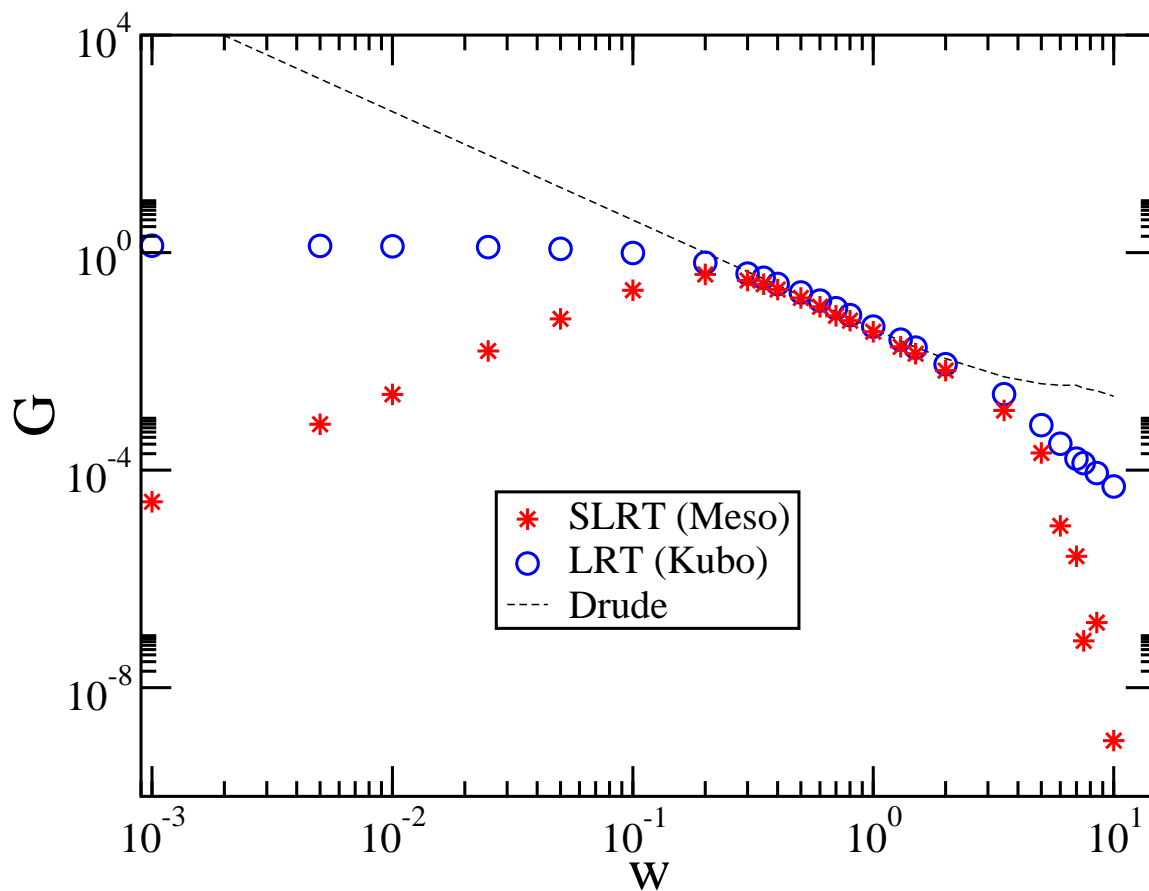
$$\langle \langle |v_{mn}|^2 \rangle \rangle_{\text{harmonic}} \ll \langle \langle |v_{mn}|^2 \rangle \rangle \ll \langle \langle |v_{mn}|^2 \rangle \rangle_{\text{algebraic}}$$

$\mathcal{M}$  mode ring of length  $\textcolor{red}{L}$  with disorder  $\textcolor{red}{W}$

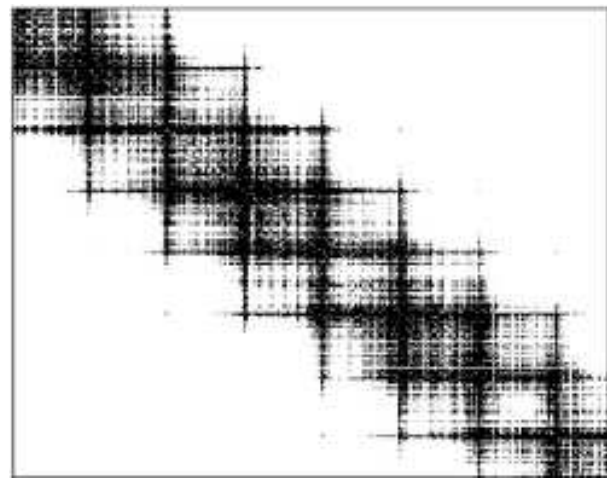
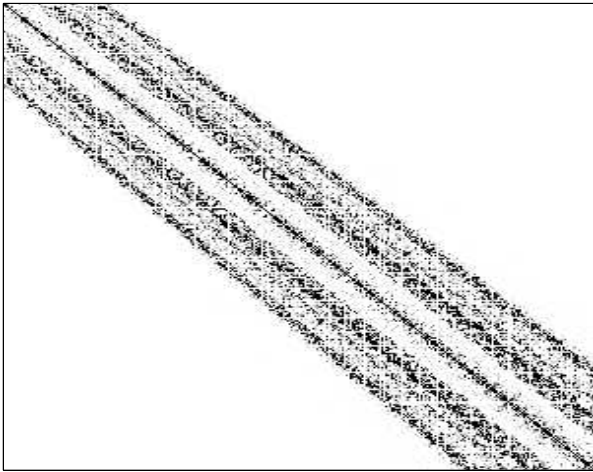


# Numerical Results

Regimes: ballistic; diffusive; localization



# Linear response theory (LRT)



$$\mathcal{H} = \{E_n\} - \frac{e}{L} \Phi(t) \{v_{nm}\}$$

$$\mathbf{G} = \pi \left( \frac{e}{L} \right)^2 \sum_{n,m} |v_{mn}|^2 \delta_T(E_n - E_F) \delta_\Gamma(E_m - E_n)$$

$$\mathbf{G} = \pi \left( \frac{e}{L} \right)^2 \text{DOS}^2 \langle \langle |v_{mn}|^2 \rangle \rangle_{\text{algebraic}}$$

applies if

EMF driven transitions  $\ll$  relaxation

otherwise

*connected sequences of transitions* are essential.

leading to

Semi Linear Response Theory (SLRT)

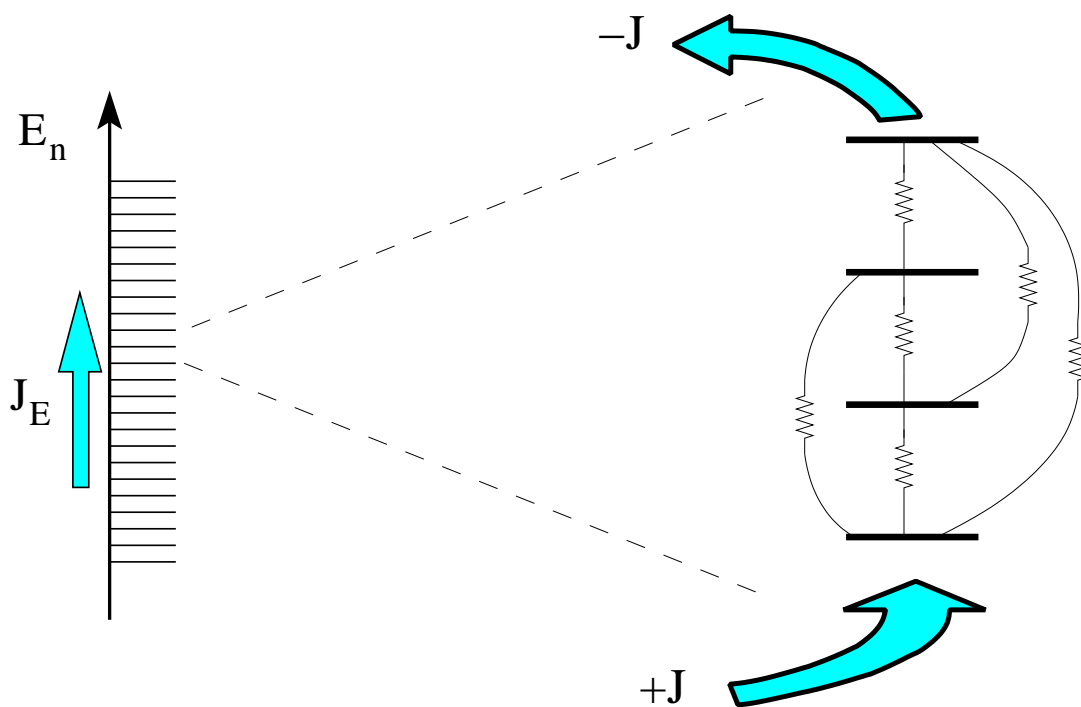


# Semi Linear Response Theory (SLRT)

$$\mathcal{H} = \{E_n\} - \frac{e}{L} \Phi(t) \{v_{nm}\}$$

$$\frac{dp_n}{dt} = - \sum_m w_{nm} (p_n - p_m)$$

$$w_{nm} = \text{const} \times g_{nm} \times \text{EMF}^2$$

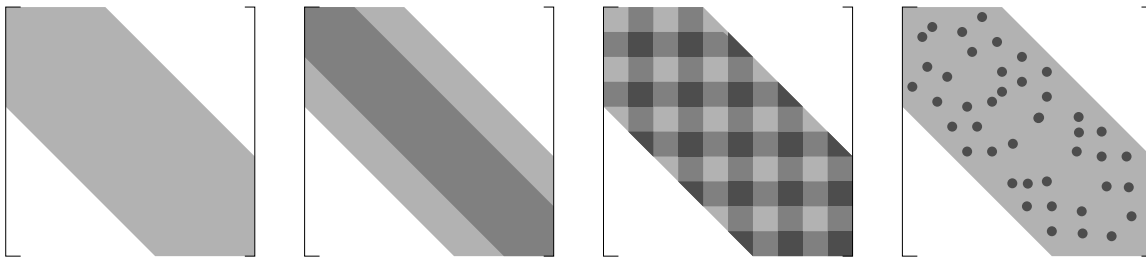


$$g_{nm} = 2\rho_F^{-3} \frac{|v_{nm}|^2}{(E_n - E_m)^2} \delta_{\Gamma}(E_n - E_m)$$

$\langle\langle |v_{mn}|^2 \rangle\rangle \equiv$  inverse resistivity of the network

$$G = \pi \left( \frac{e}{L} \right)^2 \text{DOS}^2 \langle\langle |v_{mn}|^2 \rangle\rangle$$

## Bandprofile, sparsity and texture



$$\mathbf{G} = \pi \left( \frac{e}{L} \right)^2 \text{DOS}^2 \langle \langle |v_{mn}|^2 \rangle \rangle$$

$\langle \langle |v_{mn}|^2 \rangle \rangle \equiv$  inverse resistivity of the network

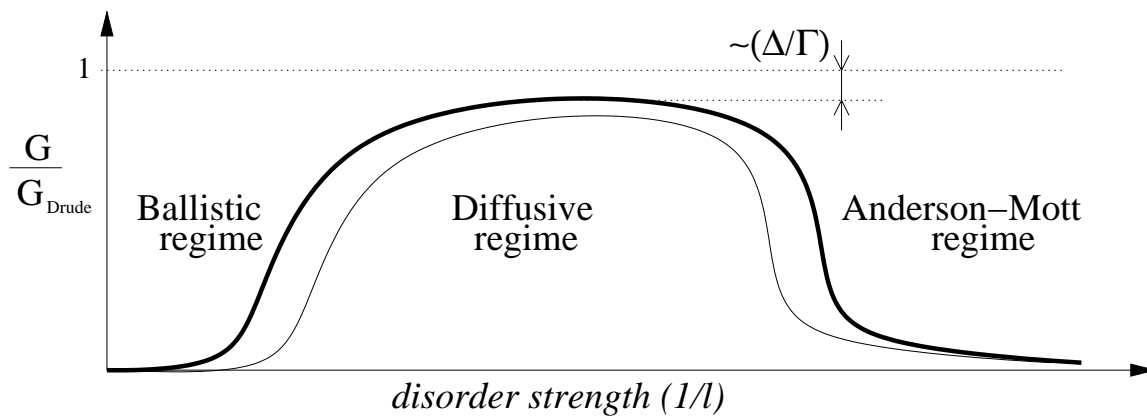
Bounds:

$$\langle \langle |v_{mn}|^2 \rangle \rangle_{\text{harmonic}} \ll \langle \langle |v_{mn}|^2 \rangle \rangle \ll \langle \langle |v_{mn}|^2 \rangle \rangle_{\text{algebraic}}$$

Analytical estimates:

- Mixed average scheme
- Variable range hopping scheme

## Conductance versus disorder



Naive expectation (assuming  $\Gamma > \Delta$ ):

$$G = \frac{e^2}{2\pi\hbar} \mathcal{M} \frac{\ell}{L} + \mathcal{O}\left(\frac{\Delta}{\Gamma}\right)$$

$L$  = perimeter of the ring

$\ell$  = mean free path  $\propto W^2$

$\ell_\infty$  = localization length  $\approx \mathcal{M}\ell$

Ballistic regime:  $L \ll \ell$

Diffusive regime:  $\ell \ll L \ll \ell_\infty$

Anderson regime:  $\ell_\infty \ll L$

## Strategy of analysis

Given  $W$ ...

Characterization of the eigenstates:

- participation ratio ( $PR$ )

Characterization of  $v_{nm}$  and RMT modeling

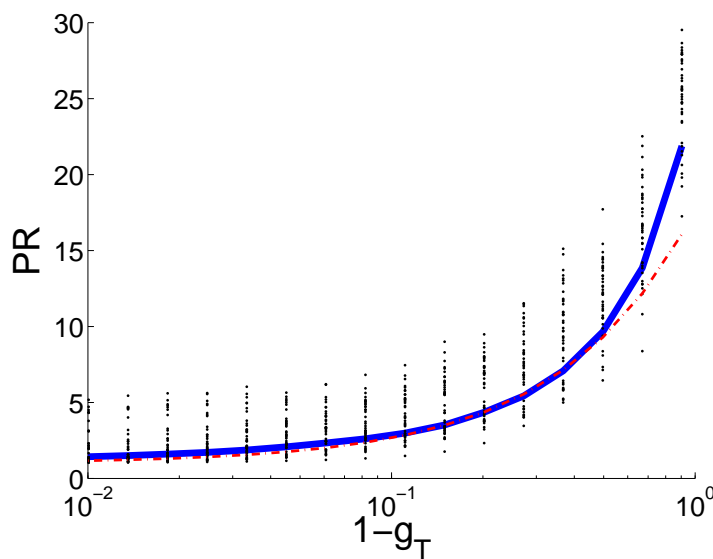
- bandwidth
- sparsity ( $p$ )
- texture

Approximation schemes for  $G$

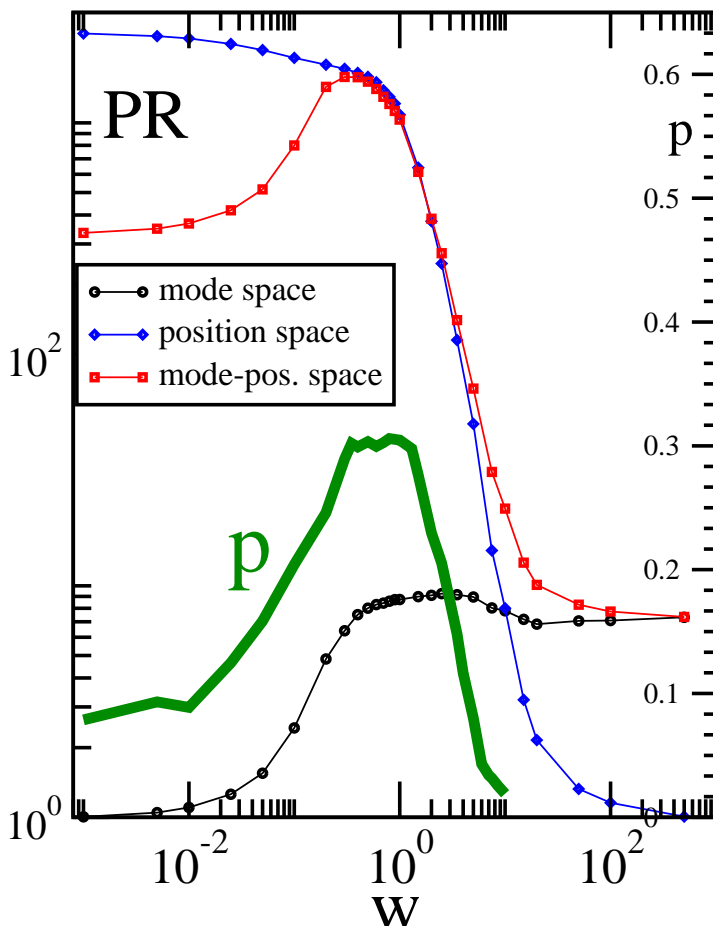
- Mixed average
- Variable range hopping estimate

# Ergodicity of the eigenstates

- **Weak disorder** (ballistic rings):  
Wavefunctions are localized in mode space.
- **Strong disorder** (Anderson localization):  
Wavefunctions are localized in real space.



The **PR** of eigenstates of a ring with a single scatterer. The horizontal axis is the **reflection** of the scatterer.



The **PR** of eigenstates of a ring with disorder. The horizontal axis is  $W$ .

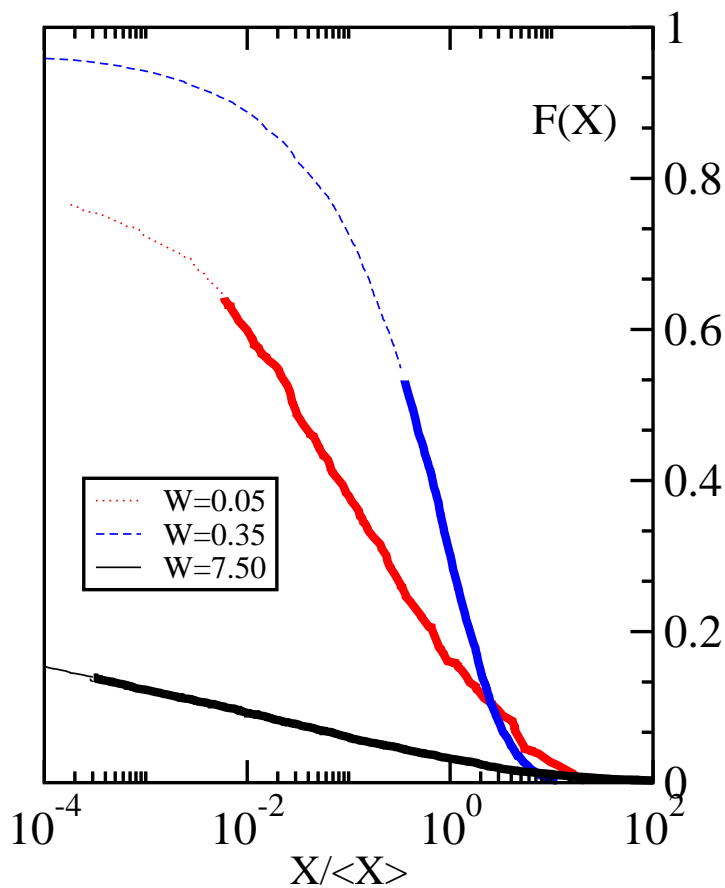
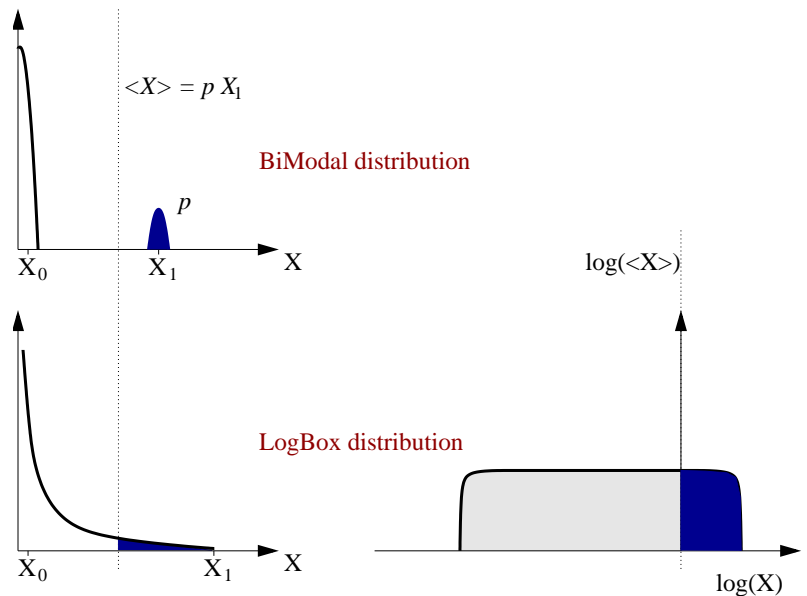
The sparsity ( $p$ ) of the perturbation matrix is related to the ergodicity of the eigenstates.

$\{|v_{nm}|^2\}$  as a random matrix  $\{X\}$

The fraction of  
"large" elements:

$$p \equiv F(\langle X \rangle)$$

Sparsity:  $p \ll 1$ .



Histograms of  $X$ :

**Ballistic:**

$$X \sim \text{LogNormal}$$

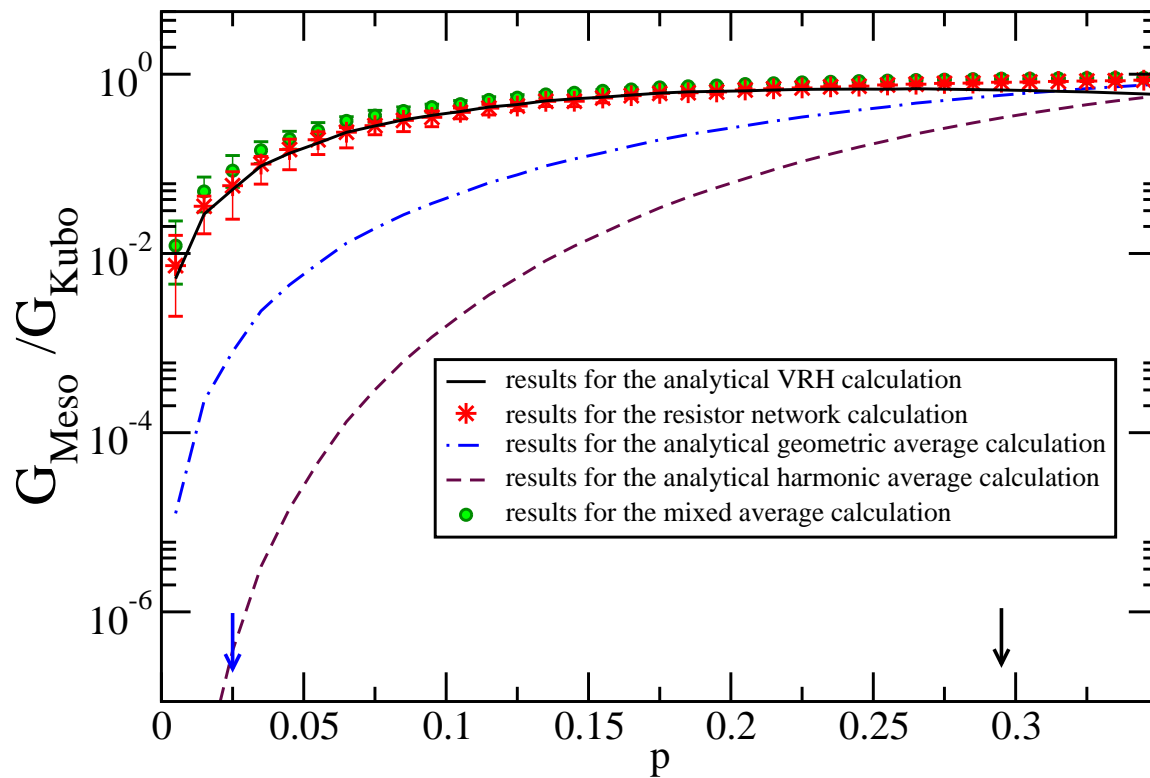
**Localization:**

$$X \sim \text{LogBox}$$

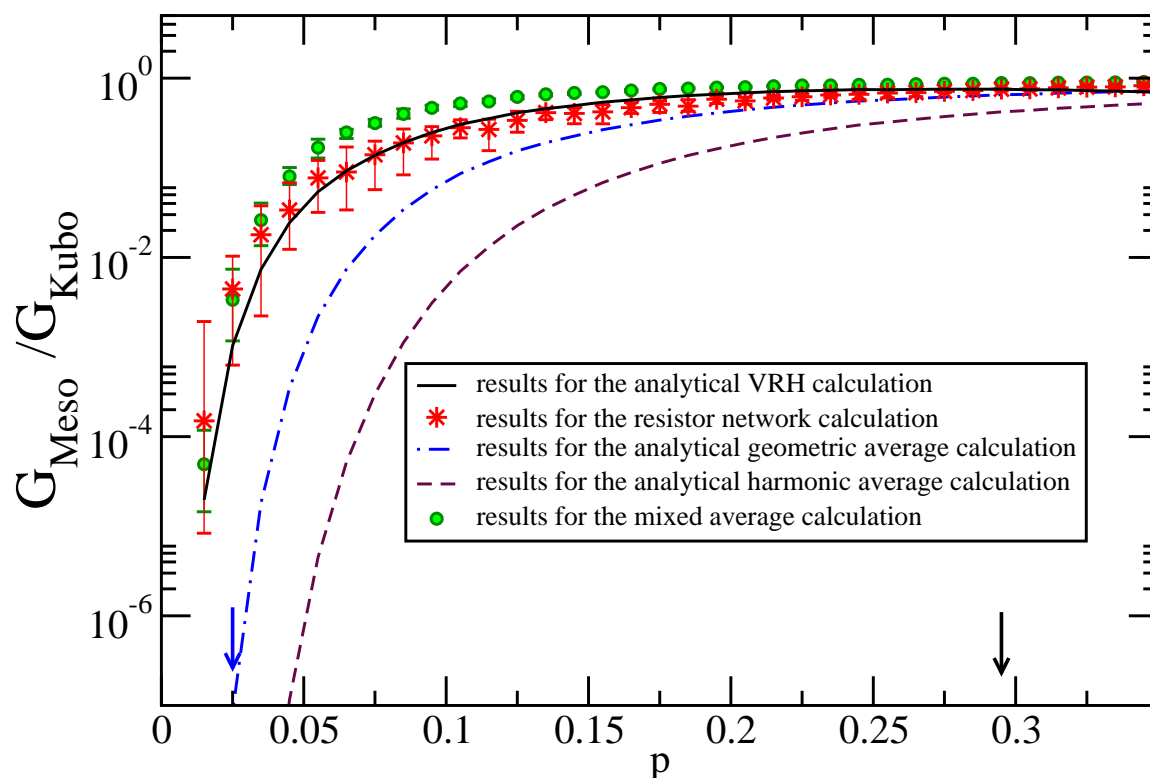
# RMT based prediction for $G_{\text{SLRT}}/G_{\text{LRT}}$

RMT implied dependence on  $p$

Log-normal distribution:



Log-box distribution:



## The VRH estimate

$$\mathbf{G} = \pi \hbar \left( \frac{e}{L} \right)^2 \sum_{n,m} |v_{mn}|^2 \delta_T(E_n - E_F) \delta_{\Gamma}(E_m - E_n)$$

$$\mathbf{G} = \frac{1}{2} \left( \frac{e}{L} \right)^2 \varrho_F \int \tilde{C}_{\text{qm}}(\omega) \delta_{\Gamma}(\omega) d\omega$$

$$\tilde{C}_{\text{qm-LRT}}(\omega) \equiv 2\pi \varrho_F \langle X \rangle$$

$$\tilde{C}_{\text{qm-SLRT}}(\omega) \equiv 2\pi \varrho_F \overline{X}$$

where by definition:  $\left( \frac{\omega}{\Delta} \right) \text{Prob}(X > \overline{X}) \sim 1$

For strong disorder we get:

$$\overline{X} \approx v_F^2 \exp \left( -\frac{\Delta_{\ell}}{\omega} \right)$$

$$\mathbf{G} \propto \int \exp \left( -\frac{\Delta_{\ell}}{|\omega|} \right) \exp \left( -\frac{|\omega|}{\omega_c} \right) d\omega$$



# LRT, SLRT and beyond

$-\dot{\Phi}$  = electro motive force (RMS)

$G \dot{\Phi}^2$  = rate of energy absorption

## Semi linear response theory

- [1] D. Cohen, T. Kottos and H. Schanz,  
*“Rate of energy absorption by a closed ballistic ring”,*  
(JPA 2006)
- [2] S. Bandopadhyay, Y. Etzioni and D. Cohen,  
*The conductance of a multi-mode ballistic ring,*  
(EPL 2006)
- [3] M. Wilkinson, B. Mehlig, D. Cohen,  
*The absorption of metallic grains,*  
(EPL 2006)
- [4] D. Cohen,  
*“From the Kubo formula to variable range hopping”,*  
(PRB 2007)
- [5] A. Stotland, R. Budoyo, T. Peer, T. Kottos and D. Cohen,  
*The conductance of disordered rings,*  
(JPA / FTC 2008)

## Beyond (semi) linear response theory

- [6] D. Cohen and T. Kottos,  
*“Non-perturbative response of Driven Chaotic Mesoscopic Systems”,*  
(PRL 2000)
- [7] A. Stotland and D. Cohen,  
*“Diffractive energy spreading and its semiclassical limit”,*  
(JPA 2006)
- [8] A. Silva and V.E. Kravtsov,  
*Beyond FGR,*  
(PRB 2007)
- [9] D.M. Basko, M.A. Skvortsov and V.E. Kravtsov,  
*Dynamical localization,*  
(PRL 2003)

## Conclusions

(\*) Wigner ( $\sim 1955$ ):

The perturbation is represented by a random matrix whose elements are taken from a **Gaussian** distribution.

Not always...

1. **Ballistic ring**  $\implies$  **log-normal** distribution.
2. **Strong localization**  $\implies$  **log-box** distribution.
3. Resistors network calculation to get  $G_{\text{SLRT}}$ .
4. Generalization of the **VRH** estimate
5. **SLRT** is essential whenever the distribution of matrix elements is wide (**“sparsity”**) or if the matrix has **“texture”**.
6. Other applications of SLRT...