

# The conductance of ballistic rings, and the absorption of radiation by small metallic grains

Doron Cohen, Ben-Gurion University

## Collaborations:

Tsampikos Kottos (Gottingen)

Holger Schanz (Gottingen)

Michael Wilkinson (UK)

Bernhard Mehlig (Goteborg)

Swarnali Bandopadhyay (BGU)

Yoav Etzioni (BGU)

## Discussions:

Yuval Gefen (Weizmann)

Shmuel Fishman (Technion)

\$ISF, \$GIF, \$DIP

## References

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*Google* “Doron Cohen”

<http://www.bgu.ac.il/~dcohen>  
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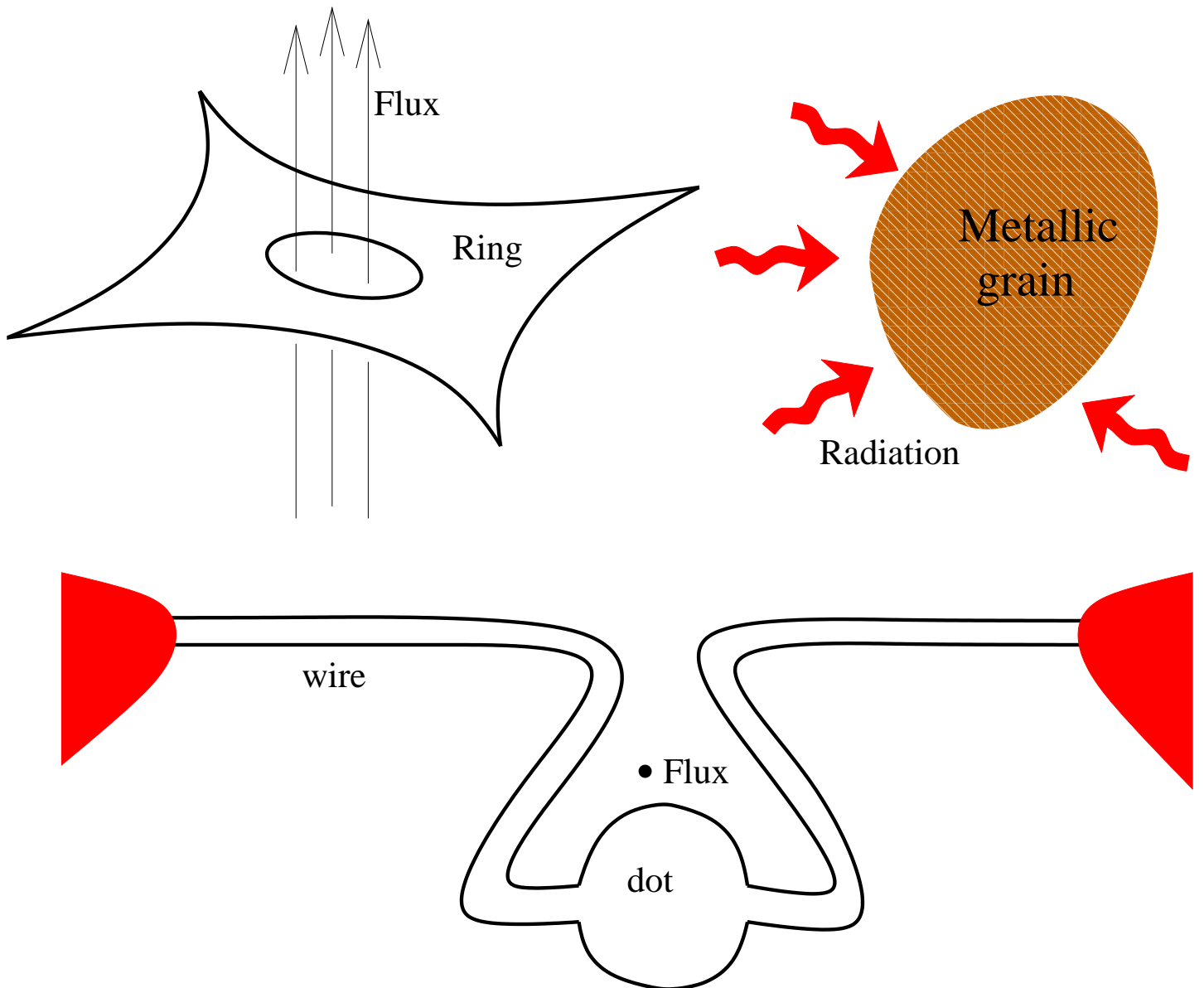
# Driven Systems

Non interacting “spinless” electrons.

Held by a potential (e.g. AB ring geometry).

$\mathcal{H}(Q, P; X(t)) =$  quantized chaotic system

$X =$  some parameter in the Hamiltonian



## “Quantum Chaos”

$\mathcal{H}(Q, P; X(t))$  = quantized chaotic system

$X$  = some parameter in the Hamiltonian

Universality on small energy scales ( $\propto \hbar^d$ )

Fingerprints on larger energy scales ( $\propto \hbar$ )

Questions:

How is the  $\hbar^d$  scale reflected in the response???

How is the  $\hbar$  scale reflected in the response???

The message:

The Kubo formalism should be revised

$\Rightarrow$  Semi-linear response theory

## The main idea

There are circumstances in which the rate of energy absorption depends on the possibility to make **connected sequences of transitions**.

The energy landscape of a quantized chaotic system is not uniform: The perturbation matrix may have **structures** and **sparsity**.

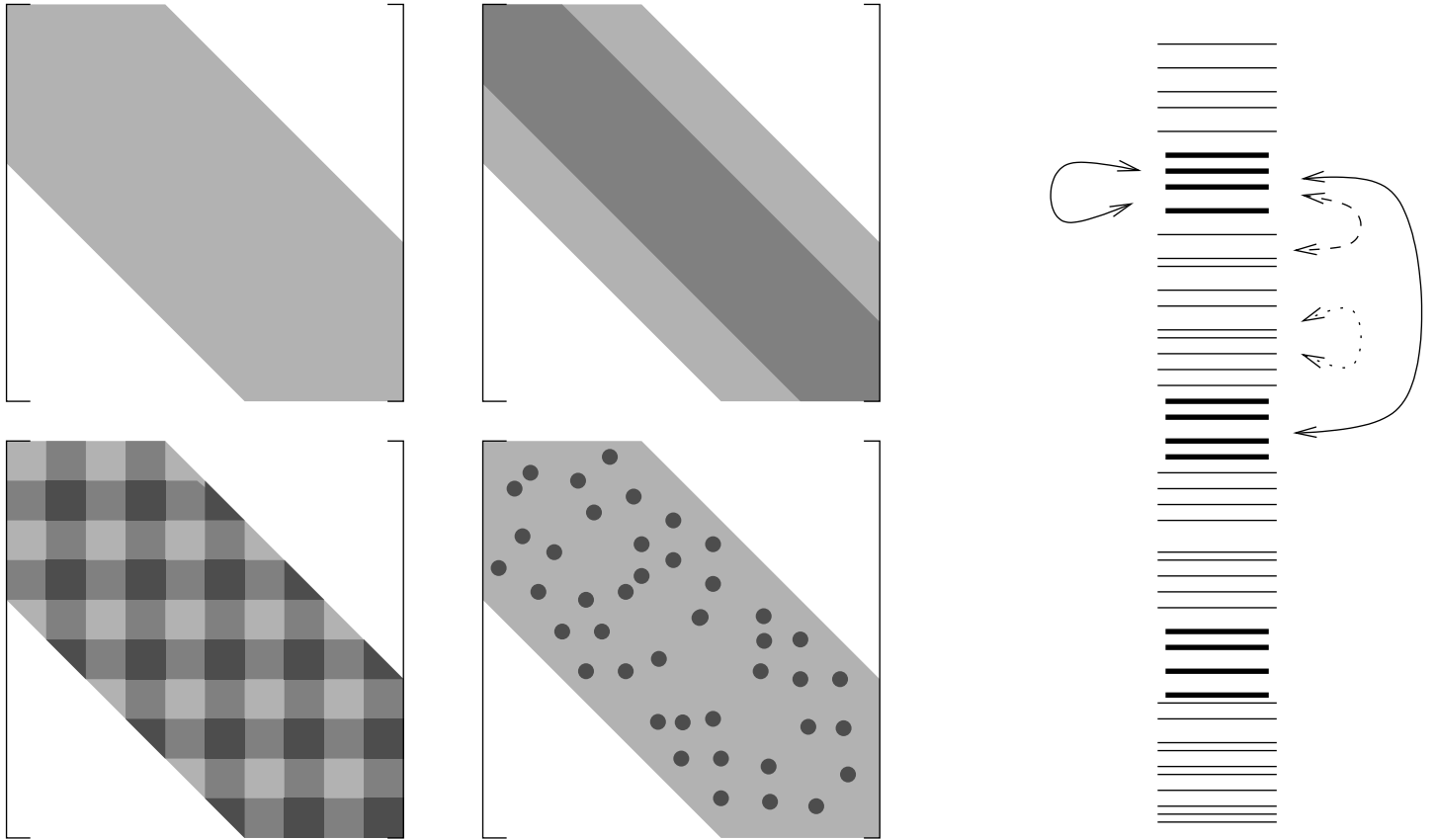
Even if the matrix elements are very large (on the average), still **bottlenecks** may lead to the **suppression** of the absorption rate.

The Kubo formalism should be revised! (\*)

(\*) However, the Kubo formula becomes valid if one assumes strong environmentally-induced relaxation.

# Type of structures

$$\mathcal{H} \longmapsto \text{diag}\{E_n\} + X(t) \mathcal{I}_{nm}$$



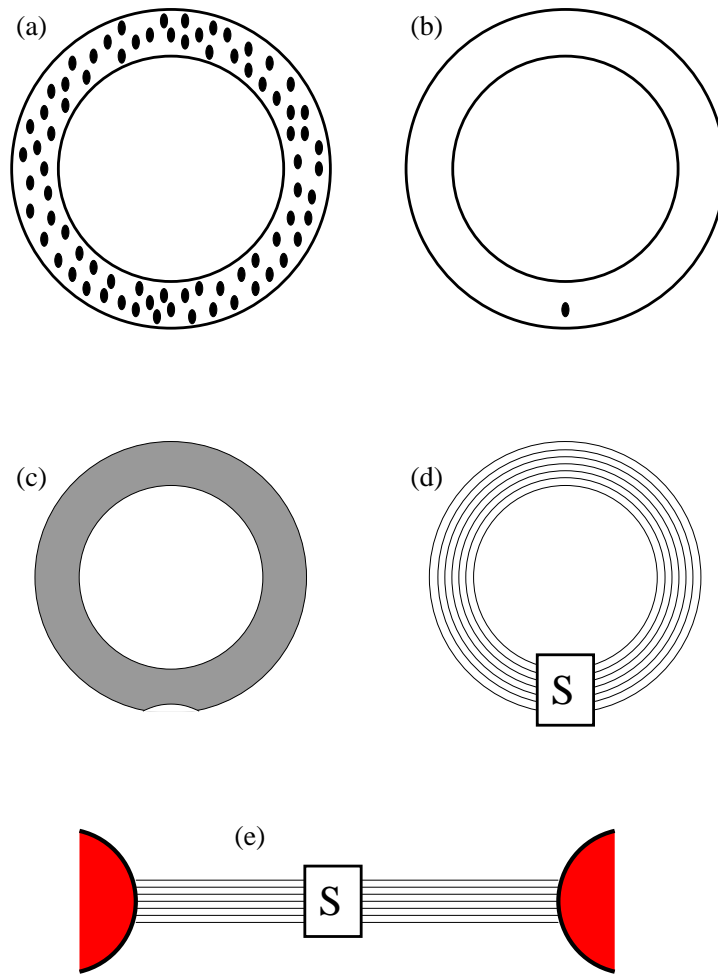
We are going to assume that FGR is valid!

Other issues:

Basko, Skvortsov, Kravtsov [PRL 2003] - weak localization

D.C. , Kottos [PRL 2000] - non-perturbative response

# Main application - conductance of rings



$$G_{\text{Drude}} = \frac{e^2}{2\pi\hbar} \mathcal{M} \frac{\ell}{L} + \text{weak localization corrections}$$

$L$  = perimeter of the ring

$\ell$  = mean free path

(!)

Diffusive ring:  $\ell \ll L$

???

Ballistic ring:  $\ell \gg L$

## The definition of $G$

$\mathcal{H}(Q, P; X(t))$  = quantized chaotic system

$X$  = some parameter in the Hamiltonian

$\dot{X}$  = rate of the driving

$E$  =  $\langle \mathcal{H} \rangle$  = the energy of the system

$\dot{E}$  = rate of energy absorption

$$\dot{E} = G \dot{X}^2$$

Important to remember:

The dissipation coefficient  $G$  reflects the stochastic-like diffusion  $D_E$  in energy space.



## Semi-linear Response

Joule law:

$$\dot{E} = G \dot{X}^2$$

More generally:

$$\dot{E} = \int \frac{d\omega}{2\pi} G(\omega) |\dot{X}_\omega|^2 = \int \alpha(\omega) F(\omega) d\omega$$

leading to

$$F(\omega) \mapsto \lambda F(\omega) \quad \Longrightarrow \quad \dot{E} \mapsto \lambda \dot{E}$$

$$F(\omega) \mapsto \sum_i F_i(\omega) \quad \Longrightarrow \quad \dot{E} \mapsto \sum_i \dot{E}_i .$$

But we shall find circumstance such that

$$\dot{E} = \left[ \int \mu(\omega) [F(\omega)]^{-1} d\omega \right]^{-1}$$

## The Kubo formula and Drude

The Kubo formula

$$G = \rho_F \times \frac{1}{2} \int_{-\infty}^{\infty} \langle\langle \mathcal{I}(\tau) \mathcal{I}(0) \rangle\rangle d\tau$$

The Drude assumption

$$\langle\langle \mathcal{I}(\tau) \mathcal{I}(0) \rangle\rangle = \left( \frac{e}{L} v_F \right)^2 e^{-(v_F/\ell)\tau}$$

Hence

$$G_{\text{Drude}} = \frac{e^2}{2\pi\hbar} \mathcal{M} \frac{\ell}{L}$$

The quantum mechanical calculation:

$$G = \pi\hbar (\rho(E_F))^2 \langle\langle |\mathcal{I}_{mn}|^2 \rangle\rangle$$

## From FGR to the Kubo formula

$$\mathcal{H} \mapsto E_n \delta_{nm} + W_{nm}$$

$$W_{nm} = i\dot{X} \frac{\hbar \mathcal{I}_{nm}}{E_n - E_m}$$

$$w_{nm} = \frac{2\pi}{\hbar} \delta_{\Gamma}(E_n - E_m) |W_{nm}|^2$$

$$D_E = \pi \hbar \varrho(E) \langle\langle |\mathcal{I}_{mn}|^2 \rangle\rangle \times \dot{X}^2$$

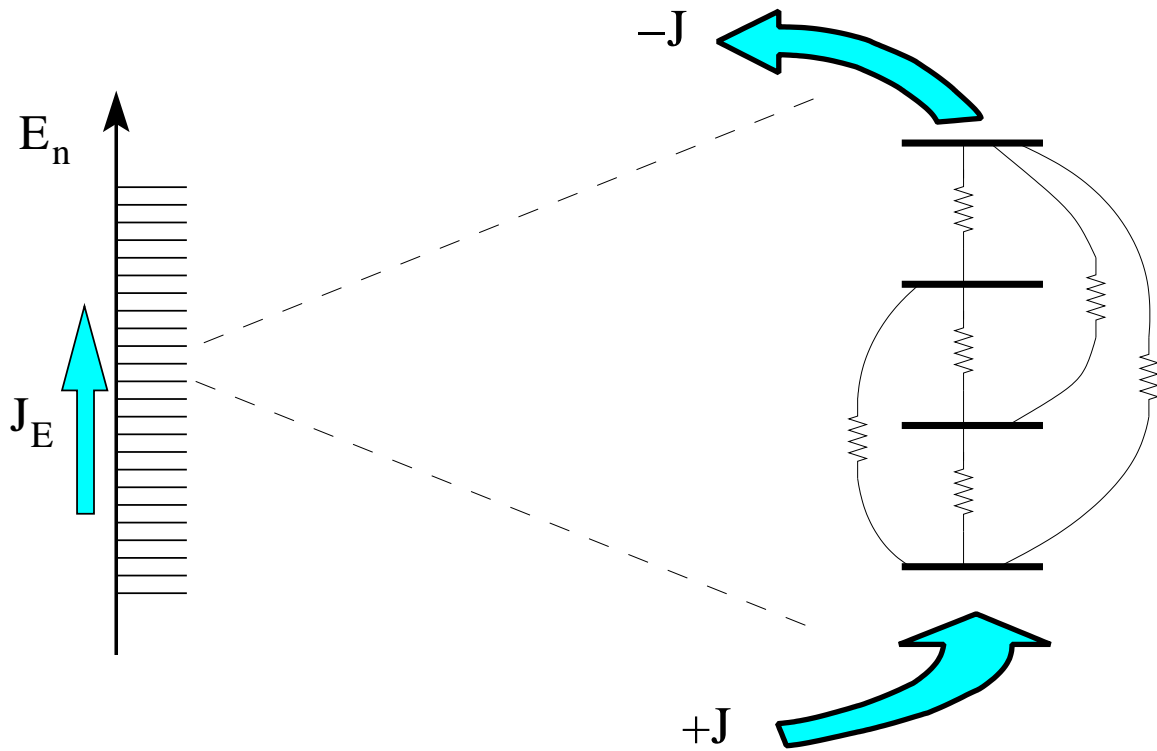
$$\dot{E} = \pi \hbar (\varrho(E_F))^2 \langle\langle |\mathcal{I}_{mn}|^2 \rangle\rangle \times \dot{X}^2$$

$$\mathbf{G} = \pi \hbar (\varrho(E_F))^2 \langle\langle |\mathcal{I}_{mn}|^2 \rangle\rangle$$

$$\mathbf{G} = \pi \hbar \sum_{n,m} |\mathcal{I}_{mn}|^2 \delta_T(E_n - E_F) \delta_{\Gamma}(E_m - E_n)$$

$$\delta_{\Gamma}(E_n - E_m) \rightarrow \frac{1}{\Gamma} F\left(\frac{E_n - E_m}{\Gamma}\right)$$

## Beyond the Kubo formula



$w_{nm}^{-1} \iff$  resistor between node  $n$  and node  $m$

$D^{-1} \iff$  resistivity of the network

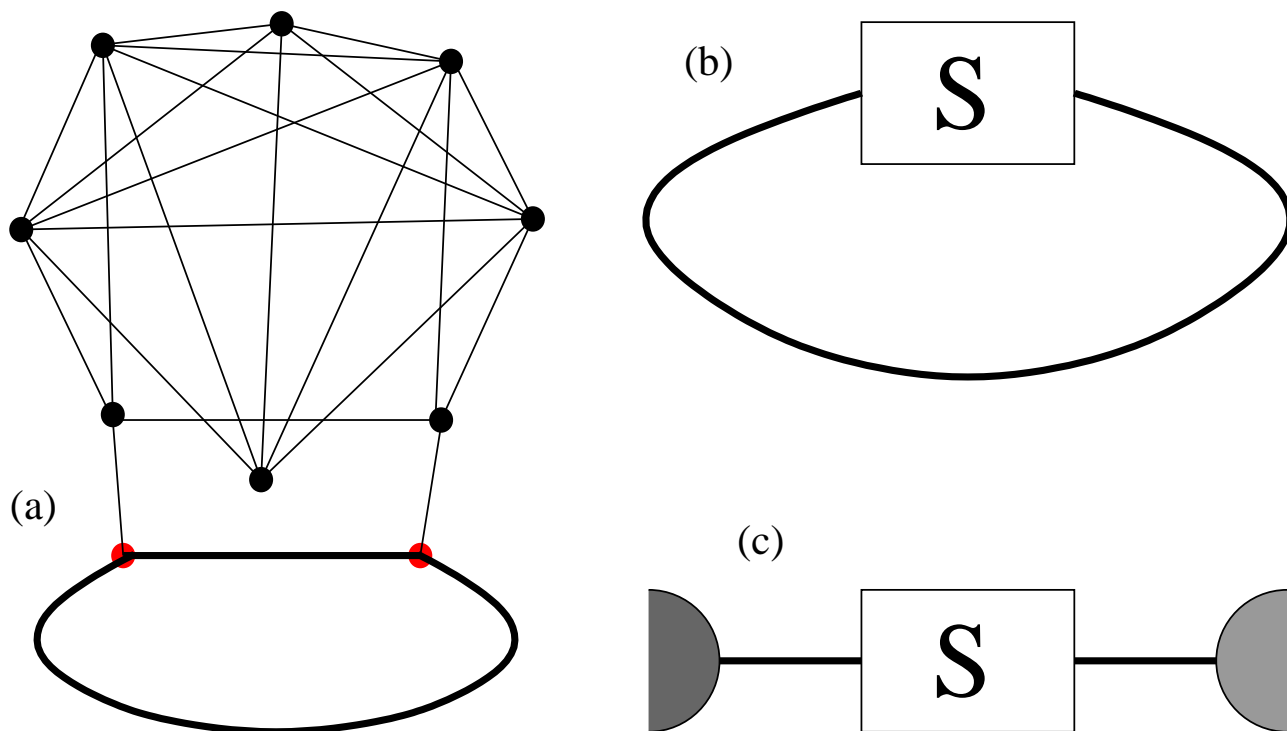
The dimensionless transition rates are

$$g_{nm} = \frac{|I_{nm}|^2}{(n-m)^2} \frac{1}{\gamma} F \left( \frac{n-m}{\gamma} \right)$$

$$g_{\text{Meso}} \approx \left[ \frac{1}{N} \sum_n \left[ \frac{1}{2} \sum_m (m-n)^2 g_{nm} \right]^{-1} \right]^{-1}$$

$$g_{\text{Kubo}} = \left[ \frac{1}{N} \sum_n \left[ \frac{1}{2} \sum_m (m-n)^2 g_{nm} \right] \right]$$

## Problem No.1 - single mode conductance



$$G_{\text{Landauer}} = \frac{e^2}{2\pi\hbar} g_{cl}$$

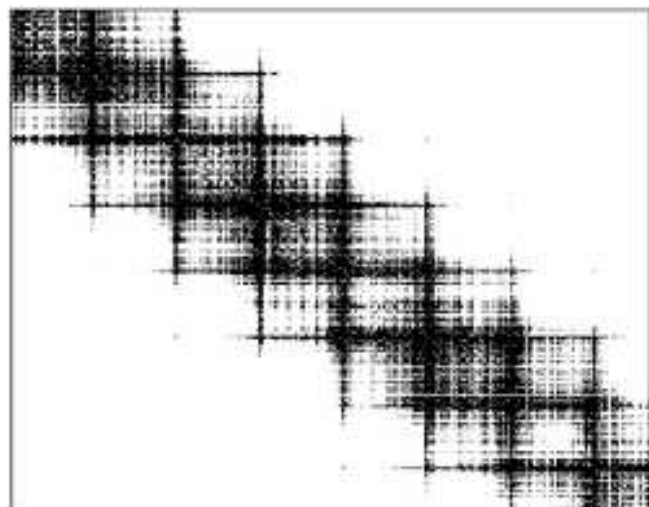
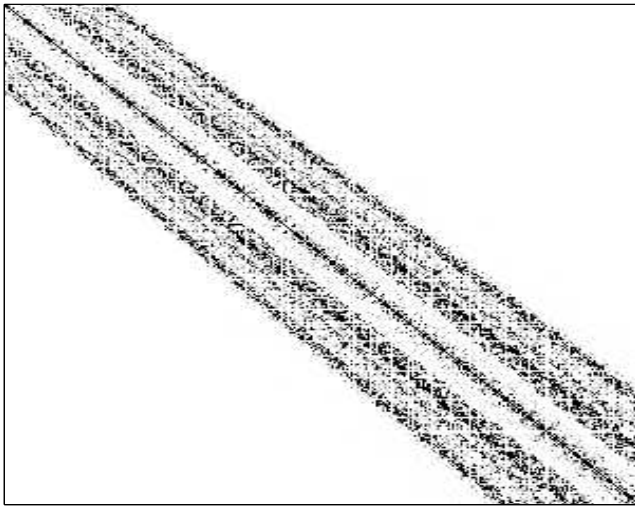
$$G_{\text{Drude}} = \frac{e^2}{2\pi\hbar} \left( \frac{g_{cl}}{1 - g_{cl}} \right)$$

$$G_{\text{Mesoscopic}} \approx \frac{e^2}{2\pi\hbar} (1 - g_{cl})^2 g_{cl}$$

where

$g_{cl}$  = the average transmission

## The perturbation matrix

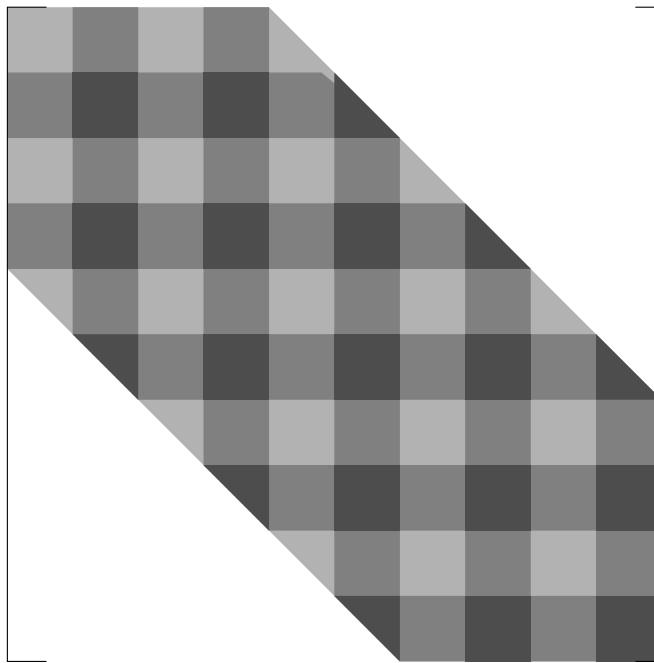
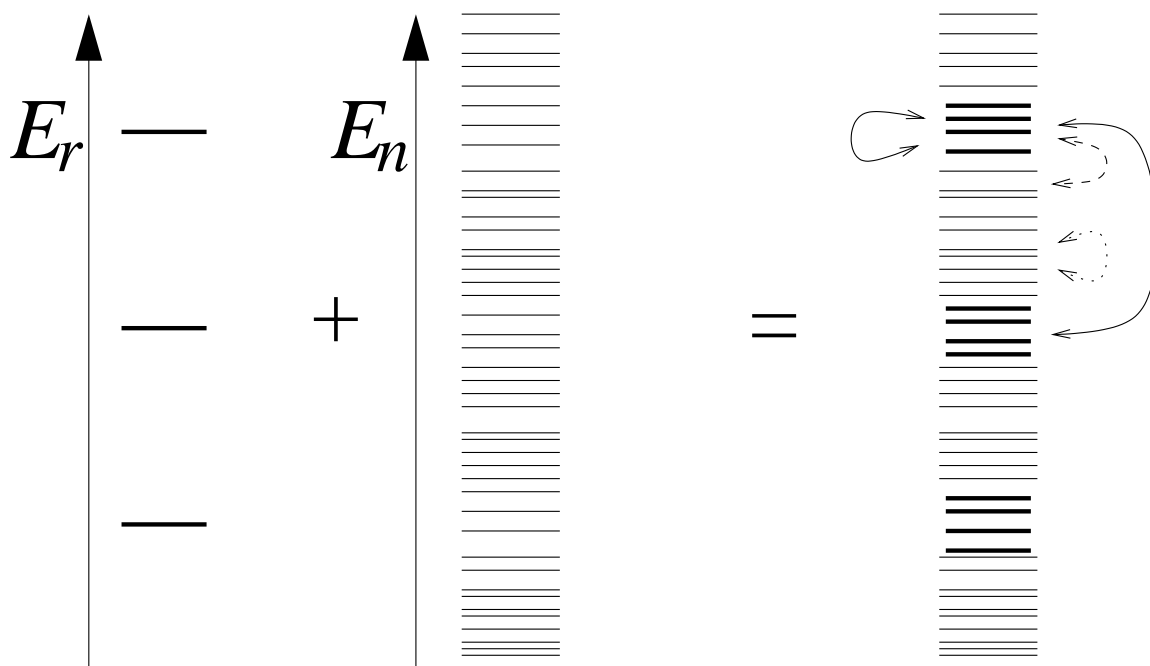


For structured matrix algebraic average is wrong!  
Therefore the “classical” result is not obtained.

How is the coarse grained diffusion determined?

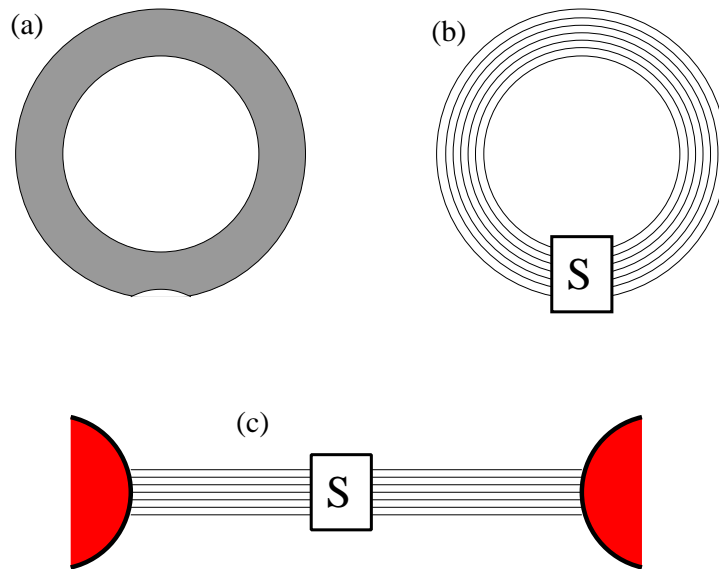
$$\langle\langle D_E \rangle\rangle = \left[ \overline{1/D_E} \right]^{-1}$$

## Why do we have structures?



Large scale  $\mathcal{O}(\hbar)$  structures are the fingerprints of the “non-universal” classical limit.

## Problem No.2 - multi mode conductance



The total transmission  $g_T \sim 1$

$L$  = perimeter of the ring

$\ell$  = mean free path

**Note:**  $\frac{\ell}{L} = \left( \frac{1}{1-g_T} \right)$

$$G_{\text{Landauer}} = \frac{e^2}{2\pi\hbar} \mathcal{M} g_{cl}$$

$$G_{\text{Drude}} = \frac{e^2}{2\pi\hbar} \mathcal{M} \left( \frac{g_{cl}}{1-g_{cl}} \right)$$

**Necessary condition for QCC:**  $\left( \frac{1}{1-g_{cl}} \right) \ll \mathcal{M}$



## Digression: The "classical" result

Single mode versions:

$$G_{\text{Landauer}} = \frac{e^2}{2\pi\hbar} g_{cl}$$

$$G = \frac{e^2}{2\pi\hbar} \left( \frac{g_{cl}}{1 - g_{cl}} \right)$$

Multimode versions:

$$\mathbf{g} = \begin{pmatrix} g^R & g^T \\ g^T & g^R \end{pmatrix}$$

$$G_{\text{Landauer}} = \frac{e^2}{2\pi\hbar} \sum_{n,m} g_{nm}^T$$

$$G = \frac{e^2}{2\pi\hbar} \sum_{nm} \left[ 2g^T / (1 - g^T + g^R) \right]_{nm}$$

[D.C. and Etzioni, JPA 2005]

# Eigenstates

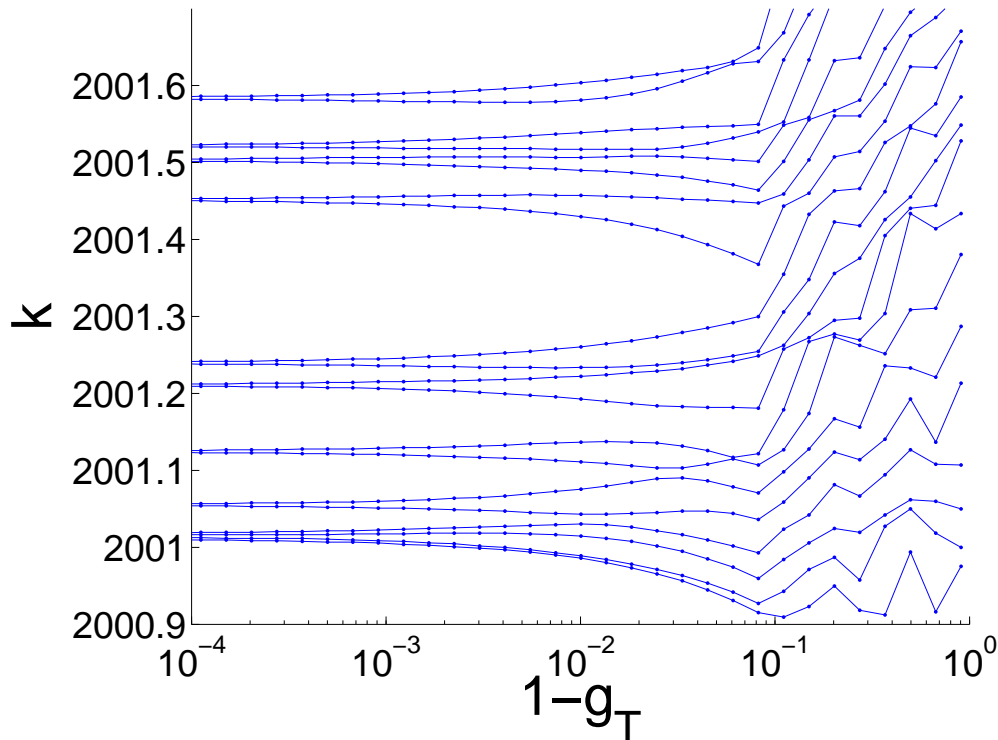
$a \equiv \text{mode index} = 1, \dots, \mathcal{M}$

The eigenfunctions of the ring

$$|\psi\rangle = \sum_a A_a \sin(kx + \varphi_a) \otimes |a\rangle$$

For a given  $g_T$  we find:

$$(k_n, \varphi_a^{(n)}, A_a^{(n)}) \quad n = \text{level index}$$

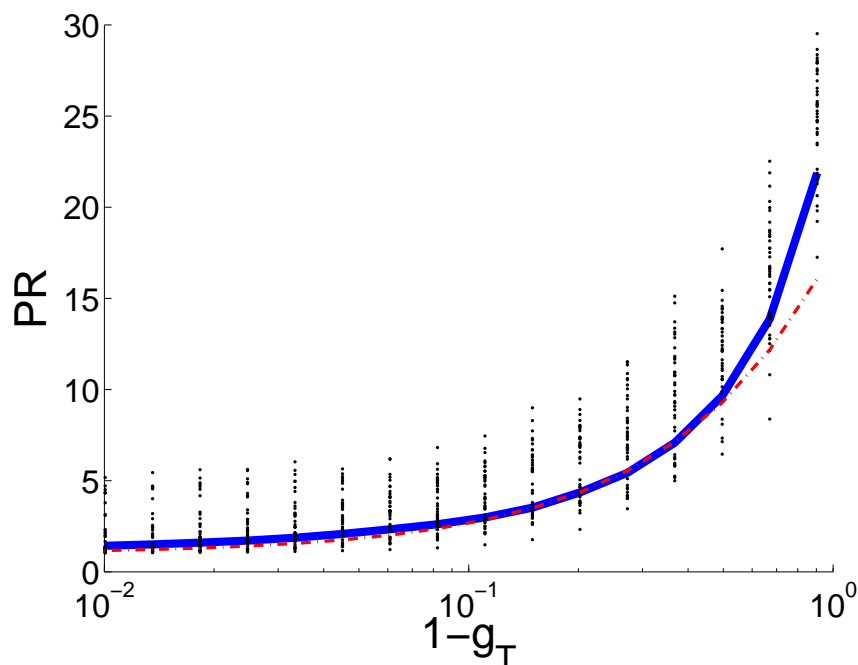


$\mathcal{M} = 50$  bonds of length  $L_a \sim 1$  each

## Lack of quantum ergodicity

Participation ratio

$$\text{PR} \equiv \left[ \sum_a \left( \frac{L_a}{2} A_a^2 \right)^2 \right]^{-1} = \begin{cases} 1 & \text{Localized} \\ \mathcal{M} & \text{Uniform} \end{cases}$$



$$\text{PR} \approx 1 + \frac{1}{3}(1 - g_T)\mathcal{M}$$

The non-trivial ballistic regime:

$$1/\mathcal{M} \ll (1 - g_T) \ll 1$$

## The perturbation matrix

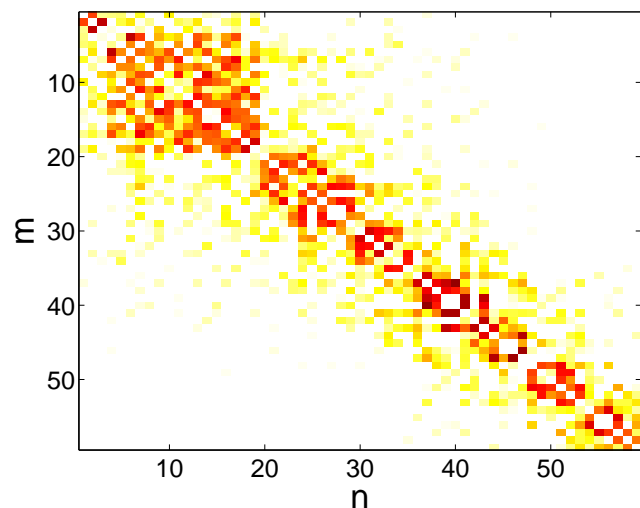
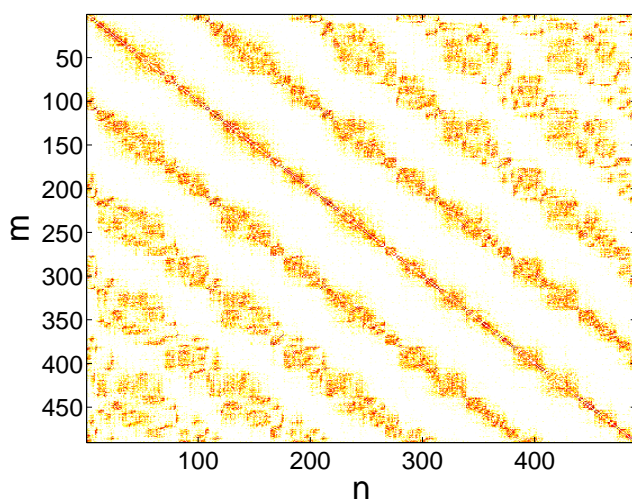
The current operator:

$$\hat{\mathcal{I}} = e\hat{v} \delta(\hat{x} - x_0) \quad (\text{symmetrized})$$

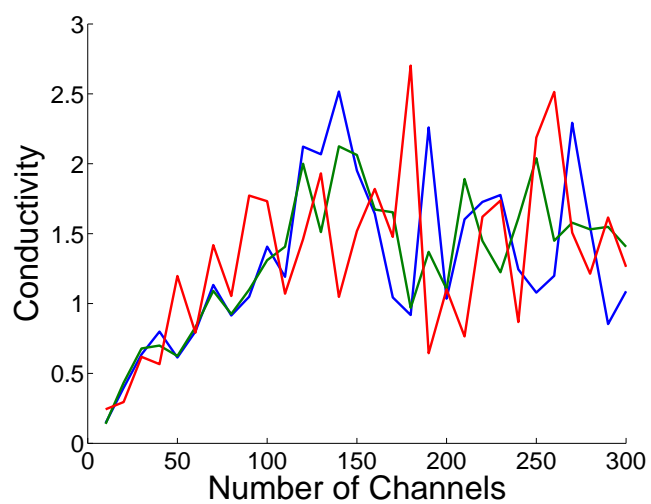
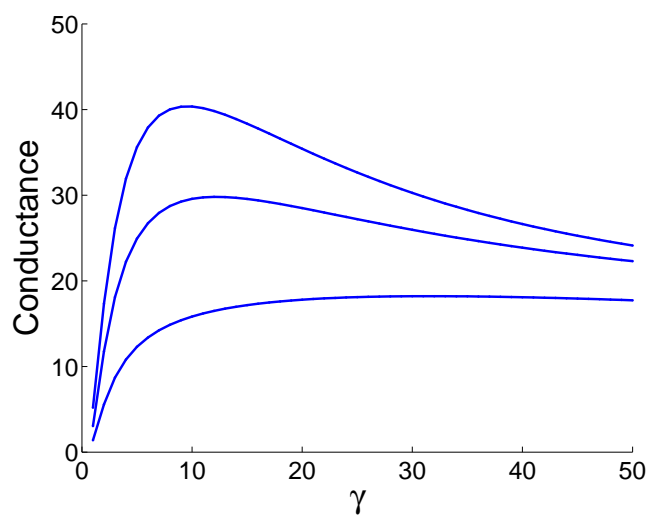
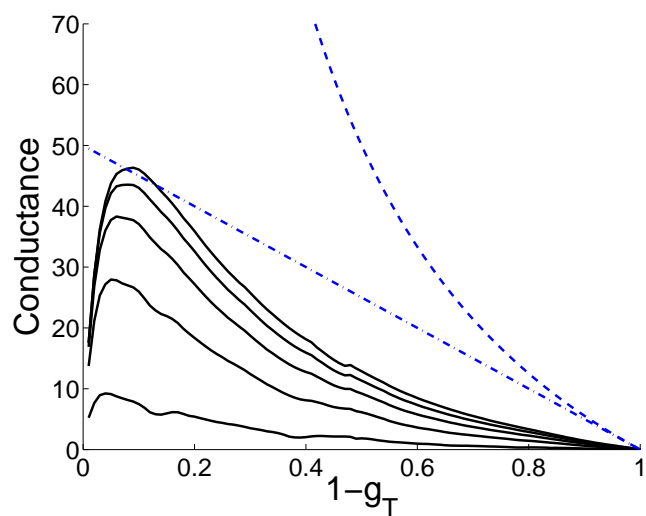
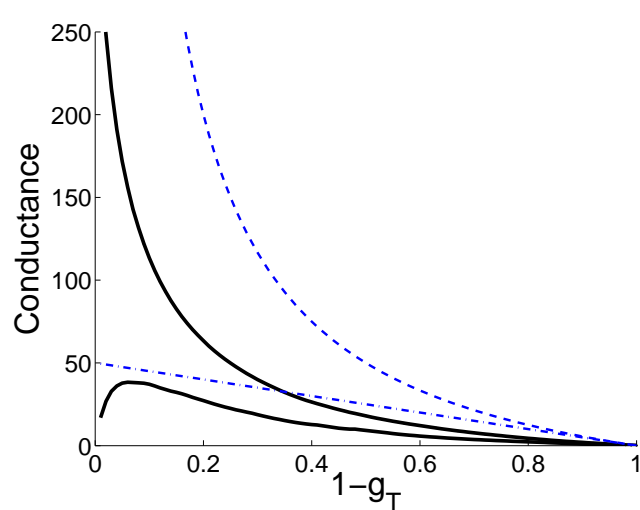
Its matrix elements:

$$\mathcal{I}_{nm} \approx -iev_F \sum_a \frac{1}{2} A_a^{(n)} A_a^{(m)} \sin(\varphi_a^{(n)} - \varphi_a^{(m)})$$

Small PR implies sparsity of  $\mathcal{I}_{nm}$



## Results for the conductance



$\mathcal{M}$  = number of open modes

$g_T$  = total transmission

$\gamma = \Gamma/\Delta =$  dimensionless level broadening

## Problem No.3 - metallic grains

The Hamiltonian:

$$\mathcal{H} = \mathcal{H}_0 - X(t)\mathcal{I}$$

$$\mathcal{H}_0 \quad \mapsto \quad \{E_n\}$$

$$\mathcal{I} \quad \mapsto \quad \{\mathcal{I}_{nm}\}$$

The driving:

$$\langle X(t)X(t') \rangle = f(t - t')$$

$$F(\omega) = \int_{-\infty}^{\infty} f(\tau) \exp(i\omega\tau) d\tau$$

For example:

$$F(\omega) = \frac{\varepsilon^2}{\omega_0} \exp\left(-\frac{|\omega|}{\omega_0}\right)$$

Temperature  $\omega_0 = k_B T / \hbar$

We assume  $k_B T \ll \Delta$

## The diffusion picture

### Fermi Golden rule

$$w_{nm} = \frac{1}{\hbar^2} F\left(\frac{E_n - E_m}{\hbar}\right) |\mathcal{I}_{nm}|^2$$

### Master equation

$$\frac{dp_n}{dt} = \sum_m w_{nm} (p_m - p_n)$$

### Diffusion in energy space

$$\frac{\partial}{\partial t} p = \frac{\partial}{\partial n} \left[ D \frac{\partial}{\partial n} p \right]$$

If the the transitions are only between neighboring levels:

$$D = \left\langle w_{\text{n.n.}}^{-1} \right\rangle^{-1}$$

leading to semi-linear response:

$$D_E = \frac{\sigma^2}{(\rho\hbar)^3} \left[ \int \frac{d\mathbf{x} e^{-\mathbf{x}^2/2}}{(2\pi)^{N/2} \mathbf{x}^2} \right]^{-1} \left[ \int_0^\infty d\omega \frac{P_2(\rho\hbar\omega)}{F(\omega)} \right]^{-1}$$

## Results of LRT and SLR

Linear response theory (LRT):

$$D_E = \sigma^2 \hbar \rho \int_0^\infty d\omega \omega^2 R_2(\hbar\omega) F(\omega)$$

Semi-linear response (SLR):

$$D_E = \frac{\sigma^2}{(\rho \hbar)^3} \left[ \int \frac{d\mathbf{x} e^{-\mathbf{x}^2/2}}{(2\pi)^{N/2} \mathbf{x}^2} \right]^{-1} \left[ \int_0^\infty d\omega \frac{P_2(\rho \hbar \omega)}{F(\omega)} \right]^{-1}$$

Level spacing statistics:

$$P_2(S) \approx a_\beta S^\beta \exp(-c_\beta S^2) \quad \text{with } \beta = 1, 2, 4$$

The LRT result of Gorkov and Eliashberg:

$$D_E = C_\beta \sigma^2 \varepsilon^2 (\hbar \rho)^{\beta+1} \omega_0^{\beta+2}$$

Our SLR result (large  $S$  statistics!):

$$D_E = \frac{\varepsilon^2 \sigma^2}{2\hbar \rho} \frac{1}{(\hbar \rho \omega_0)^{\beta-1}} \exp \left[ -\frac{1}{\pi (\hbar \rho \omega_0)^2} \right]$$



## The SLR result - details

For  $\mathcal{H} = \mathcal{H}_0 - X(t)\mathcal{I}$  we would get  $D_E = 0$  because RMT implies a non-zero probability to have a vanishingly small matrix element.

It is only in 3D that we get absorption:

$$\mathcal{H} = \mathcal{H}_0 - \sum_j X_j(t)\mathcal{I}^j$$

with

$$\langle X_i(t)X_j(t') \rangle = \delta_{ij}f(t - t')$$

$$F(\omega) = \int_{-\infty}^{\infty} f(\tau) \exp(i\omega\tau) d\tau$$

We have used:

$$F(\omega) = \frac{\varepsilon^2}{\omega_0} \exp\left(-\frac{|\omega|}{\omega_0}\right)$$

Temperature  $\omega_0 = k_B T / \hbar$

We assume  $k_B T \ll \Delta$

## Numerics

